FEDERAL REPUBLIC OF NIGERIA WORLD BANK

PROGRAM-FOR-RESULTS FINANCING

NIGERIA HOPE for QUALITY BASIC EDUCATION FOR ALL (HOPE-EDU) PROGRAM-FOR-RESULTS

ENVIRONMENT AND SOCIAL SYSTEMS ASSESSMENT (ESSA)

Final

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TABLE OF CONTENTS

TABLE OF CONTENTS	1
LIST OF ACRONYMS	3
EXECUTIVE SUMMARY	5
SECTION I: PROGRAM DESCRIPTION AND SCOPE	13
1.1 Introduction	
1.2 Program Description	14
1.3 Program Implementation and Institutional Arrangements	16
1.4 Program Boundaries and Result areas	
1.5 Excluded Activities	
1.6 Scope of the Environmental and Social Management System Assessment (ESSA)	
1.7 Objectives of this ESSA	24
1.8 Approach of ESSA	25
SECTION II: STAKEHOLDER CONSULTATION	26
SECTION III: DESCRIPTION OF EXPECTED PROGRAM ENVIRONMENTAL AND SOCI	AL IMPACTS28
3.1 Overview of Program Risks and Benefits	
3.2 Expected Environmental Benefits	
3.3 Expected Environmental Risks and Impacts	
3.4 Expected Social Benefits	20
5.4 Expected Social Benefits	
3.5 Social Risks and Impact	
•	30 A <i>L</i>
3.5 Social Risks and Impact	30 AL 32
3.5 Social Risks and Impact SECTION IV: OVERVIEW OF RELEVANT BORROWERS ENVIRONMENTAL AND SOCI MANAGEMENT SYSTEMS	30 AL 32 32
3.5 Social Risks and Impact SECTION IV: OVERVIEW OF RELEVANT BORROWERS ENVIRONMENTAL AND SOCI MANAGEMENT SYSTEMS 4.1 The Constitution of the Federal Republic of Nigeria (1999)	
3.5 Social Risks and Impact	
 3.5 Social Risks and Impact	
 3.5 Social Risks and Impact	
 3.5 Social Risks and Impact	
 3.5 Social Risks and Impact	
 3.5 Social Risks and Impact	
 3.5 Social Risks and Impact	
 3.5 Social Risks and Impact	
 3.5 Social Risks and Impact	

Core Principle 4: Land Acquisition	1 5
CTION VI: PROGRAM ACTION PLAN (PAP) AND RECOMMENDATIONS5	50
6.1 Environmental Summary and Recommendations5	50
6.2 Social Summary and Recommendations5	50
CTION VII. SUPPORTING ANNEXES AND REFERENCE DOCUMENTS5	53
Annex 1: Applicability of Core Environmental and Social Principles (CP) to the HOPE-Edu Program Resu Area and Disbursement Linked Indicators (DLIs)	
Annex 2: Key Environmental & Social Risks and Benefits Associated with Program Activities5	57
Annex 3: Attendance at Stakeholder Consultation Held on December 5, 20246	56

LIST OF ACRONYMS

ASC	Annual School Census
BLP	Basic Literacy Program
CST	Coordination Support Team
DLI	Disbursement-Linked Indicator
DLR	Disbursement-Linked Result
ECE	Early Childhood Education
E&S	Environment and Social
ENB	Environment, Natural Resources and Blue Economy
ESSA	Environmental and Social Systems Assessment
ESMP	
FMEnv	Environment and Social Management Plan
	Federal Ministry of Environment
FMFBNP	Federal Ministry of Finance Budget and National Planning Gender-Based Violence
GBV	
GDP	Gross Domestic Product
GoN	Government of Nigeria
GRM	Grievance Redress Mechanism
HCI	Human Capital Index
HOPE	Human Capital Opportunities for Prosperity and Equality
ICT	Information Communications Technology
IDPs	Internal Displaced Persons
IPF	Investment Project Financing
ISR	Implementation Status and Results Report
JONAPWD	Joint National Association of Persons with Disabilities
LGA	Local Government Area
LGEA	Local Government Education Authority
MDA	Ministries, Departments and Agencies
MICS	Multiple Indicator Cluster Survey
NCT	National Coordination Team
NERDC	National Education Research and Development Council
NFLC	Non-Formal Learning Centres
NGF	Nigeria Governor's Forum
NIN	National Identification Number
NPMT	National Policy and Monitoring Team
OOSC	Out-of-School Children
PAP	Program Action Plan
PCU	Program Coordinating Unit
PDO	Program Development Objective
PforR	Program-For-Results
PLP	Post Literacy Program
RA	Result Area
RANA	Reading and Numeracy Activity
SABER	State Action and Business Enabling Reforms
SAME	State Agency for Mass Education
SFTAS	State Fiscal Transparency Accountability and Sustainability
SMEnv	State Ministry of Environment
SMoF	State Ministry of Finance
SPP	Structured Pedagogy Program
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SUBEB	State Universal Basic Education Board
ТА	Technical Assistance
TaRL	Teaching at the Right Level
TLM	Teaching and Learning Material
TSO	Teacher Support Officer
UBE	Universal Basic Education
UBEC	Universal Basic Education Commission

EXECUTIVE SUMMARY

- 1. Nigeria's human development outcomes are among the lowest in the world. Nigeria's human capital index (HCI), according to the World Bank's Human Capital Index (HCI), is 0.36, positioning it substantially below the average for Sub-Saharan Africa (0.40) and lower-middle-income countries worldwide (0.48). Nigeria's HCI of 0.36 implies that a child born in Nigeria can be expected to achieve only 36 percent of her or his potential productivity if current levels education services remain unchanged. In education, a child who starts school at age four can expect to complete 10.2 years of school by her 18th birthday; but if one factors in what is actually learned, the expected years of school are only five.
- 2. In addition, Nigeria has the world's largest population of out-of-school children (OOSC). Children who attend non-formal education only or do not attend any form of education are both categorized as being out-of-school. Of the estimated 60.3 million children aged 5-14 years, there are an estimated 14.8 million OOSC (or 27 percent). Importantly, an estimated 45.8 million children aged 5-14 years are unable to read and understand a simple text even as the quality of teaching is poor. At the pre-primary level, only 28 percent of students have access to instructional materials for English studies, and 24 percent for mathematics.
- 3. Majority of Children with disabilities are OOSC in Nigeria. Studies have revealed that up to 3.5 million children with disabilities are currently out-of-school, while a study done by the Joint National Association of Persons with Disabilities (JONAPWD) indicated that 95 per cent of children with disabilities are out of school. They are often neglected, and there are no deliberate approaches to integrate them into development programs.
- 4. To deal with these problems and more under basic education in Nigeria, the World Bank is proposing to support the Government of Nigeria (GoN) with a Program for Results (PforR) instrument referred to as Nigeria Human Capital Opportunities for Prosperity and Equality Program-For-Results (HOPE)- Quality Basic Education for All Program-For-Results (HOPE-Edu PforR) (hereafter, the Program). The proposed operation is a hybrid that will include a part allocated to technical assistance (TA), which uses an Investment Project Financing (IPF) instrument. The HOPE-Education operation will be financed by a World Bank IDA credit of US\$500 million and a GPE grant of US\$52.2 million.
- 5. The Program is expected to directly benefit 29 million children enrolled at public primary schools; 500,000 public primary teachers; and more than 65,000 public primary schools as well as their school-based management committees (SBMCs) who will cater to their students and teachers. Program beneficiaries will also include another 1.5 million children who will enroll in accelerated non-formal basic education program in 10,000 non-formal learning centers. In addition, the program will benefit government agencies at the federal, the state and the local governments through enhanced capacities to plan, implement and monitor basic education service delivery.
- 6. The Program Development Objectives are to improve foundational learning outcomes, increase access to basic education and to enhance education systems in participating States. The following PDO level indicators will measure the achievement of the result:
 - a) Percentage of children in Grade 3 who are proficient in reading, disaggregated by gender

- b) Percentage of children in Grade 3 who are proficient in mathematics, disaggregated by gender
- c) Number of out-of-school children aged 5-14 years who have been brought into a formal or non-formal literacy and numeracy program
- d) Number of states that digitally publish complete Annual School Census Database and Report on time.
- 7. The operation will be structured around three results areas:
- a) Improving quality : This area aims to ensure that foundational literacy and numeracy are taught to primary students using a Structured Pedagogy Program (SPP) in participating States. The SPP is a coherent package of four integrated elements, which together are designed to improve classroom instruction and subsequently student performance: (i) teaching-learning materials (TLMs); (ii) teacher capacities; (iii) teacher support; and (iv) action-oriented evaluation of learning.
- b) Increasing access : This area aims to increase access to, and enrollment in, primary education in participating States through the community-supported creation of new classrooms and learning opportunities in the public formal and non-formal sectors
- c) Enhancing key systems : This area aims to strengthen the allocation and management of UBEIF, school management, governance and accountability; and to generate information essential for system management.
- 8. There are eight DLIs as follows:
- a) DLI 1: Number of schools with sufficient TLMs for literacy and numeracy
- b) DLI 2: Number of primary teachers with improved structured pedagogy practices (SPP)
- c) DLI 3: Percentage of children proficient in literacy and numeracy DLI 4: Number of new primary classrooms created through community participation
- d) DLI5: Number of children who complete NFBE program.
- e) DLI 6: Percentage of core UBE funds managed at decentralized level.
- f) DLI 7: Percentage of public primary schools using annual school grant (ASG).
- g) DLI 8: Percentage of schools included in current-year Annual School Census (ASC) report.
- 9. The Program will exclude activities that do not meet the World Bank's Policy on eligibility for PforR financing (September 2020). The borrower shall ensure that the Program excludes any activity that, in the opinion of the World Bank, is likely to have significant adverse environmental impacts that are sensitive, diverse, or unprecedented and/or requires significant land acquisition, displacement, and/or resettlement of affected people. Any Program activity that entails land acquisition, negative impact on natural habitat and cultural resources, public and worker's health and safety will not be funded under the PforR without adequate environmental and social management. For example, the program will support the procurement of civil works, and materials only when an adequate e-waste management plan is in place of the bidding document and monitored and reported regularly.
- 10. The Environmental and Social Systems Assessment (ESSA) examines the extent to which the Federal and State Government's existing environmental and social management systems operates within, an adequate legal and regulatory framework to guide environmental and social impact assessments, mitigation, management and monitoring at the PforR Program level. It assesses their consistence with the six 'core principles' of Program for Results Policy and recommends actions to address the gaps and to enhance performance during Program implementation. This ESSA incorporates recognized elements of good practice in environmental

and social assessment and management and thereafter defines measures to strengthen the system and recommends measures that will be integrated into the overall Program. The ESSA is undertaken to ensure consistency with six core principles and key planning elements of PforR ESSA.

- 11. The World Bank team prepared the ESSA through a combination of detailed reviews of existing program materials and available technical literature, including policies, regulations, guidelines and examples of due diligence and design documents, interviews and extensive consultations with government staff, non-governmental organizations, regulatory agencies, private sector organizations and sector experts associated with public revenue generation.
- 12. In line with the six core principles above, the relevant risks associated with the HOPE Program and within the proposed Result Areas (RAs) under the PforR covers environmental and social issues and include:
 - a) Increased generation of e-waste due to the deployment of digital devices for recording observations by trained observers in DLI 2 and, digitization of school-based data collection and digital submission and publication of Annual School Census data in DLI8, which will involve the use of ICT systems.
 - b) The procurement and delivery of civil works, equipment, and materials, including construction of twelve thousand new public primary classrooms in areas where there are no schools and the establishment or strengthening of Non-formal learning centers (NFLCs) to enable OOSC to complete a literacy and numeracy program through government-community partnerships arrangements in DLIs 4 could lead to negative environmental and social impacts associated with these activities, such as the generation of solid waste, noise, and air pollution.
 - c) Potential exclusion of vulnerable groups, e.g. children with disabilities, those in conflict zones, nomadic households, internally displaced persons (IDPs), etc., where there are no targeted approaches to mainstream them into the educational system.
 - d) Potential discrimination of vulnerable groups due to ethnic considerations and sexual abuse or harassment of children and women in the appointment and training of a qualified teacher in DLI 2, and DLI 4 and the hiring and training the instructors in Non-Formal Learning Centers (NFLC) in DLI 5.
 - e) Conflicts and security risks could disrupt the Program implementation. Schools and teacher can be targeted by insurgents and bandits.
 - f) Resistance by member of the community who may view the Program especially for girls, as conflicting with cultural beliefs. On the contrary exclusion of stakeholders can also lead to mistrust and resistance of the Program.
 - g) The procurement and delivery of civil works, equipment, and materials could also impact workers' health and safety.
 - h) Creation of new public primary classrooms for schools and the establishment or strengthening of Non-formal learning centers (NFLCs) could result in land acquisition for building more classrooms. This could result in social risks associated with land acquisition.
 - i) Potential risk of child abuse and child labor.
- 13. The overall environmental and social risks have been assessed and deemed to be **Substantial**. Although the program activities are not likely to require significant changes to the borrower's

overall environmental and social systems, the program was generally assessed as substantial because of the procurement of civil works and material in DLI4, possible community land acquisition for building of classrooms, e-waste as a result of the deployment of learning packages to teachers in the form of digital devices in DLI2, deployment of digital devices for recording observations by trained observers in DLI3 and, digitization of school-based data collection and transmission in DLI8 and the envisaged social risks associated with recruitment qualified teachers in DLI4 and DLI5.

- 14. The ESSA process includes stakeholder consultations and disclosure of the ESSA Report, in accordance with the World Bank Policy and Directive for Program for-Results Financing and Access to Information Policy. At present, the ESSA consultation process is embedded in the Program consultation process.
- 15. Some analysis was carried out to determine the range of environmental and social risks and benefits that are associated with the PforR program based on each of the DLIs.
- 16. The PforR program will deliver minimal direct environmental benefits. Environment benefits will accrue through the use of school-based management grants for cleaning and maintaining school premises as outlined in DLI7.
- 17. The HOPE-Edu PforR has some activities that are expected to impact the environment. The procurement of civil works, construction, rehabilitation, classroom expansion, and the establishment of classrooms by communities in DLI4 could significantly impact the environment. The procurement of civil works, construction, rehabilitation and classroom expansion is associated with significant direct and indirect environmental risks such as solid waste generation, noise, land degradation, air pollution and destruction of flora and fauna, which could lead to biodiversity loss.
- 18. The delivery of a foundational learning package and essential teaching-learning materials (TLMs) associated with DLI1 will involve printing textbooks, especially to facilitate literacy and numeracy. This will consume a large amount of paper, thus impacting forests and trees used to manufacture paper. The digitization of the learning packages could reduce this.
- 19. In addition, the deployment of learning packages to teachers in the form of digital devices, the deployment of digital devices for recording observations by trained observers, the digitization of school-based data collection and transmission, procurement of digital devices, and adoption of a standardized and automated reporting format associated with DLIs 1, 2, and 8 could result in the generation of e-waste.
- 20. Furthermore, the HOPE-Edu PforR has many social benefits that will result from achieving the DLIs. These benefits include increased school enrollment, enhanced literacy and numeracy among school children, reduced out-of-school children (OOSC), enhanced performance of pupils and students in basic education, enhanced economic development, and poverty reduction, especially among the most vulnerable.

- 21. Availability of essential teaching-learning materials (DLI1), and activities associated with enabling teachers to effectively teach foundational literacy and numeracy and ensuring fidelity of the structured pedagogy practices (DLI2), will result in significant social benefits. Activities which include the delivery of TLM, including accessible textbooks in literacy and numeracy and building of Grades 1-3 teacher capacity to effectively use the teaching-learning materials and apply the structured pedagogical approach for literacy and numeracy roach, including observation, feedback, and support to teachers as well as regular assessment of learning, enhancing literacy and numeracy programs in non-formal learning centres (NFLCs) and increased completion rate of pupils in these NFLCs and their advancement into formal education will result in increased enrollment in basic education, reduction in OOSC, improved literacy and numeracy, enhanced performance of pupils and students in basic education as will be manifested in their end of year results and other performance assessment measures.
- 22. Also, activities associated with increased access to primary education (DLI4) which include the construction of public primary classrooms and recruitment of qualified teachers for primary schools by communities and the establishment or strengthening of Non-formal learning centers (NFLCs) in DLI5 to enable OOSC to complete a literacy and numeracy program will enhance access to education by people without access to education, guarantee that qualified and competent teachers are available in primary schools in rural communities and at the NFLCs thus increasing enrollment of pupils in schools, reduction in OOSC, and improved literacy and numeracy of pupils in primary education and NFLCs.
- 23. The HOPE-Edu PforR is also associated with some social risks. The procurement of civil works, classroom construction, and expansion by communities in DLI4 and the establishment or strengthening of Non-formal learning centers (NFLCs) in DLI5 to enable OOSC to complete a literacy and numeracy program could potentially impact workers' health and safety for workers involved in rehabilitation works related to these DLIs. The workers may be exposed to pollution caused by dust and noise at the work site. There could also be an influx of workers to the communities where rehabilitation work will occur and child labor issues. This may affect the communities as there could be cases of sexual abuse and other vices, for example, drug abuse. Where the schools are not accessible for children and staff with disabilities, it may further create more exclusion. It is critical that the construction activities adhere to the National Accessibility Regulation¹ that provides the standards to ensure public infrastructures are accessible for all, including Persons with Disabilities.
- 24. There could be potential exclusion of children with disabilities where they are no targeted approaches to include them into the program as beneficiaries or make the schools inclusive. Other vulnerable groups that may be potentially excluded include children in conflict zones, nomadic households IDPs, exclusions due to ethnic considerations, etc. Child labour may occur, as most OOSC are already engaged in various forms of labour. There could be potential issues of child abuse, SEA/SH on children and sexual abuse or harassment of women in the recruitment and hiring of qualified teachers and instructors at Non Formal Learning Centres (NFLC) associated with DLI5 and 6. In addition, although social conflict as envisaged by ESSA, especially regarding armed conflict, is not applicable, exclusion of vulnerable groups and discrimination along the

¹ ncpwd.gov.ng/pdfs/44document.pdf

lines of ethnicity and religion in the recruitment and hiring of teachers can result in complaints, social unrest and demonstrations.

- 25. Following the identification of environmental and social risks, the E&S management system in place to manage the identified risks was assessed. The assessment was done using the following criteria: strengths of the system, or where it functions effectively and efficiently and is consistent with Bank Policy and Directive for Program-for-Results Financing; inconsistencies and gaps between the principles espoused in Bank Policy and Directive for Program-for-Results Financing and capacity constraints; actions to strengthen the existing system. Information from this analysis, identification of gaps and opportunities/actions, was used to inform the recommendations and Program Action Plan (PAP).
- 26. Given the environmental impact of this project, some recommendations are made as follows:
 - a) Strengthening the E&S capacity under the project is needed. To facilitate this, E&S specialists should be recruited for the Program.
 - b) E-waste waste management strategies must also be developed specifically for the program to facilitate their management. The requirements for e-waste management and EPRON should be included in the bidding document under HOPE-Edu PforR.
 - c) Also, E&S management procedures and requirements should be included in the documents for the procurement of civil works, materials and school expansion.
 - d) There is a need to develop an environmental management strategy or manual and OHS guidelines for schools.
 - 27. Given the identified social issues and weaknesses in the federal system, the following recommendations are made:
 - a) Ensure that the recruitment of teachers to reduce staffing gap and application of mechanisms to reduce absenteeism are carried out transparently to avoid ethnic or religious bias. Also, there is a need to ensure gender inclusion in the recruitment, deployment and management of teachers.
 - b) Ensure a select number of teachers are trained on environmental management and safety in the school environment.
 - c) Establish a robust grievance redress mechanism specific to the Program to ensure that complaints from different stakeholders are well addressed.
 - d) Strengthen provisions regarding gender-based violence (GBV) prevention and response, including clear protocols for identifying, reporting, and addressing instances of GBV within the school environment. This will include reviewing and, where appropriate, updating the Code of Conduct for teaching and non-teaching staff in the education sector.
 - e) Develop and implement guidelines to make school accessible for vulnerable children, including those with disabilities, nomadic, IDPs, and ethnic considerations.
 - f) Implement a whole-school approach to make schools safer for learning.
 - g) Develop a land donation protocol for donating community land to be used for the construction and classroom expansion.
- 28. Following the recommendations, the breakdown of actions to be included in the Program Action Plan (PAP) with indicative timeline, responsibility for implementation and indicators for measuring the completion of such actions are detailed in the Table 6.1 below.

Table 6.1: Program Action Plan (PAP)

s/n	Action Description	Due Date	Responsible Party	Completion Measurement
1	Dedicate officials responsible for ensuring E&S PAP implementation	Prior to Effectiveness	UBEC, National Program Steering Committee (NPSC), National Program Coordinator (NPC), National Deputy Program Coordinator (NDPC)	Inclusion of the listed specialist in the team and maintained throughout the Program implementation, and Training Module and Implementation Support Supervision Report of World Bank Task team
2	Hire qualified Environmental and Social Officers and provide capacity building/system- strengthening program to strengthen their skills	No later than 3 months after effectiveness (will be reported during the first mission ISR)	SUBEC, State Program Steering Committee (SPSC), State Program Coordinator (SPC), State Deputy Program Coordinator (SDPC)	Assist the E&S PAP implementation official to execute the above responsibilities.
3	Develop e-waste management strategies for managing e-waste result from the program	Within one year of effectiveness or before the first bidding document for equipment procurement, whichever is earlier.	UBEC, NPSC, NPC, NDPC, SUBEC, SPSC, SPC, and SDPC	E-waste and healthcare waste management strategy document.
4	Strengthen provisions on gender-based violence (GBV) prevention and response in the school management guidelines, including clear protocols for identifying, reporting, and addressing instances of GBV within the school environment. This will include reviewing and, where appropriate, updating the Code of Conduct for	Within two years of effectiveness	SUBEC, State Program Steering Committee (SPSC), State Program Coordinator (SPC), State Deputy Program Coordinator (SDPC), Ministry of Education, Teachers Training, Civil Service Commission and Teaching Service Commission, the Teachers Registration Council of Nigeria (TRCN).	School management guidelines to include provisions on GBV prevention and response Code on Conduct is reviewed and updated for GBV prevention where necessary

s/n Action Description		Due Date	Responsible Party	Completion Measurement	
	education officers,				
	teaching and non-				
	teaching staff in the				
	education sector.				
5	Develop a GRM specific to the Program	Within one year of effectiveness		Copies of GRM outlining details of implementation	
6	Develop guidelines and implement them to make school accessible for vulnerable children, including those with disabilities, nomadic, IDPs, and ethnic considerations.	Within two years of effectiveness	UBEC, NPSC, NPC, NDPC, SUBEC, SPSC, SPC, and SDPC	Guidelines developed and under implementation	
7	Implement a whole- school approach to make schools safer for learning.	Within two years of effectiveness	UBEC, NPSC, NPC, NDPC, SUBEC, SPSC, SPC, and SDPC	Whole school approach guidelines developed and under implementation	
8	Develop a community land donation protocol specifically for the Program.	Prior to Effectiveness	SUBEC, SPSC, SPC, and SDPC	Copies of land donation protocol outlining details of implementation	

SECTION I: PROGRAM DESCRIPTION AND SCOPE

1.1 Introduction

- 1. Nigeria's human development outcomes are among the lowest in the world. Nigeria's human capital index (HCI), according to the World Bank's Human Capital Index (HCI), of is 0.36, positioning it substantially below the average for Sub-Saharan Africa (0.40) and lower-middle-income countries worldwide (0.48). Nigeria's HCI of 0.36 implies that a child born in Nigeria can be expected to achieve only 36 percent of her or his potential productivity if current levels education services remain unchanged.² In education, a child who starts school at age four can expect to complete 10.2 years of school by her 18th birthday; but if one factors in what is actually learned, the expected years of school are only five.
- 2. In addition, Nigeria has the world's largest population of out-of-school children (OOSC). Children who attend non-formal education only or do not attend any form of education are both categorized as being out-of-school. Of the estimated 60.3 million children aged 5-14 years, there are an estimated 14.8 million OOSC (or 25 percent). In the primary school age range, of every four OOSC, one is a drop-out, one attends a non-formal Islamic school, and the remaining two have never attended any school at all.³ Non-formal education through Qur'anic schools is widespread in the Northern zones. The majority of Children with disabilities are OOSC in Nigeria. Studies have revealed that up to 3.5 million children with disabilities are currently out-of-school, while a study done by JONAPWD indicated that 95 per cent of children with disabilities are out of school. They are often neglected, and there are no deliberate approaches to integrate them into development programs. NLSS 2018-2019 finds that 44 per cent of girls with disabilities of primary school age were out of school.⁴
- 3. Also, an estimated 45.8 million children aged 5-14 years are unable to read and understand a simple text. This corresponds to an overall learning deprivation rate of 76 per cent, and is higher for boys (78) than for girls (74). The rate ranges from 47-64 per cent in the Southern zones to 82-93 per cent in the Northern zones; from 15 per cent in Lagos to 99 per cent in Jigawa. The primary school completion rate is 73.1 percent, and the junior secondary completion rate is 67.7 percent.⁵ Among students attending Grade 3, 22.5 percent and 21.5 percent demonstrate foundational (Grade Two level) reading and numeracy skills, respectively; the rates among students attending Grade 6 are 39.2 and 35.4 percent, respectively; and among students attending the final year of JSS are 71.1 and 60.2 percent, respectively. Only 3.5 percent of children aged 7-14 years who are not in school demonstrate foundational reading skills and 3.9 percent demonstrate foundational literacy, and even among children who attend school in these age-corresponding grades, only 4 in 10 demonstrate foundational literacy.⁶ While the country

² World Bank, 2022. Human Capital Index database. <u>https://www.worldbank.org/en/publication/human-capital#Briefs</u>

³ National Education Data Survey (NEDS) 2020. The net attendance rates for formal education reported here are somewhat higher than those reported in MICS 2021.

⁴ Nigeria National Bureau of Statistics. Living Standards Survey (NLSS) 2018/19.

⁵ MICS, 2021. The National Personnel Audit (NPA) 2002 estimates that the primary completion rate is 82.9 percent, and the junior secondary completion rate is 45.1 percent (p. 19).

⁶ The same Grade Two level questions were administered regardless of age, indicating that learning increases with the number of grades attended. Children 'not in school' includes those in non-formal education.

does not yet have official learning poverty figures, estimates using available data suggests that more than 75 percent of Nigerian children are unable to read and understand a simple text by age 10. The share is slightly higher for males when compared to females.

- 4. The quality of teaching is also poor. In public primary and JSS schools, the subject in which textbooks are the most available is mathematics, yet in all grades there is on average only one textbook for every five students.⁷ Visual teaching aids needed to support language learning are commonly unavailable.⁸ Besides, there is shortage of qualified teachers. The national average pupil-teacher ratio (PTR)for primary education is 49:1; and for JSS is 29:1. If one takes into account teacher qualifications at the primary level it is 98 (without one state having a ratio below 44:1); and at JSS, it is 75:1.
- 5. The national EMIS team has a minimal budget and can only issue guidance to states, who must finance data collection from limited resources. Several aid programmes have managed to provide assistance to improve the analysis of annual school census and out-of-school survey data, establishing a more reliable picture of where education investment should be prioritized.ⁱ In addition to the lack of adequate data for planning, several indicators have been identified as impediments to the education of learners with disabilities in Nigeria. These include lack of facilities and learning aids, including assistive technologies, due to their high cost; inadequate and unskilled human resources; an absence of legislation that guarantees inclusive education; inade-quate funding to meet the learning and teaching needs for children with disabilities; inadequate implementation of existing education policies; discriminatory attitudes; and a dearth of early identification and intervention programsⁱⁱ.

1.2 Program Description

- 6. To deal with these problems and more under basic education in Nigeria, the World Bank is proposing to support the Government of Nigeria (GoN) with a Program for Results (PforR) instrument referred to as Nigeria Human Capital Opportunities for Prosperity and Equality Program-For-Results (HOPE)- Quality Basic Education for All Program-For-Results (HOPE-Edu PforR) (hereafter, the Program). The proposed operation is a hybrid that will include a part allocated to technical assistance (TA), which uses an Investment Project Financing (IPF) instrument. The IDA and the GPE contributions to the HOPE-EDU PforR PEF will be US\$473.66 million and US\$46.09 million respectively. Together, the IDA/GPE financing of US\$519.75 million for the PforR component is about 14.0 percent of the total program financing requirements estimated at US\$3,722.63 million. When the IPF component financing of US\$32.44 million IDA US\$26.34 million and GPE US\$6.10 million is included, the IDA/GPE contribution totals US\$552.18 million, accounting for 14.7 percent of total financing requirement of US\$3,755.06 million for the operation.
- The Program is expected to directly benefit 29 million children enrolled at public primary schools; 500,000 public primary teachers; and more than 65,000 public primary schools as well as their school-based management committees (SBMCs) who will cater to their students and teachers.

⁷ NPA 2022, pp. 273-278.

⁸ See e.g. American Institutes for Research, 2024. Early-grade literacy instruction in Edo. A review of the lesson plans and its implementation. Draft Report, p. 20.

Program beneficiaries will also include another 1.5 million children who will enroll in accelerated non-formal basic education program in 10,000 non-formal learning centers. In addition, the program will benefit government agencies at the federal, the state and the local governments through enhanced capacities to plan, implement and monitor basic education service delivery.

- 8. The Program Development Objectives are to improve foundational learning outcomes, increase access to basic education and to enhance education systems in participating States. The following PDO level indicators will measure the achievement of the result:
 - a) Percentage of children in Grade 3 who are proficient in reading, disaggregated by gender
 - b) Percentage of children in Grade 3 who are proficient in mathematics, disaggregated by gender
 - c) Number of out-of-school children aged 5-14 years who have been brought into a formal or non-formal literacy and numeracy program
 - d) Number of states that digitally publish complete Annual School Census Database and Report on time
 - 9. The operation will be structured around three results areas: improving quality; increasing access; and enhancing key systems. There are 8 DLIs. The DLIs/DLRs proposed under the HOPE-EDU are captured in Table 1.1 below.

DLR

Table 1.1: Summary Table of DLIs across three results-areas

DLI

Results Area 1: Improving Quality

 Number of schools with sufficient TLMs for literacy and numeracy 	1.0: TLMs quality-assured and needs-estimated, and track-trace system approved		
This for interacy and numeracy	1.1: Primary schools have sufficient TLMs for literacy and numeracy		
	1.2: Textbooks usage guidelines approved		
2: Number of primary teachers with	2.0: SPP training packages approved		
improved structured pedagogy practices (SPP)	2.1: All primary teachers and mentors enabled to improve SPP		
	2.2: All Grades 1-3 teachers regularly mentored on SPP		
	2.3: All primary teachers regularly mentored on SPP		
	2.4: Number of primary teachers with improved SPP		
3: Percentage of children proficient in	3.0 : Baseline percentages of children proficient in literacy and numeracy established		
literacy and numeracy	3.1 : Learning evaluation mechanisms for Grades 1-2 literacy and numeracy approved		
	3.2: Number of LGEAs acting on early learning evaluations		
	3.3: Number of LGEAs acting on early learning evaluations		
	3.4: Increased percentage of children proficient in literacy and numeracy		
Results Area 2: Increasing Access			
	A 1. Covernment community covernments signed to events your placements		

4.1: Government-community agreements signed to create new classrooms

DLI

DLR

4: Number of new primary classrooms created through community	4.2 : 13,000 new classrooms created
participation	
5: Number of children who complete	5.1: 10,000 NFLCs have Management Committee, teacher, and TLMs
NFBE program	5.2: 1,500,000 children complete NFBE program
Results Area 3: Enhancing Key Systems	
6: Percentage of core UBE funds	6.1: Increased number of states accessing UBE matching funds
managed at decentralized level	6.2: 50% of core UBE funds de-centrally managed
	6.3: 80% of core UBE funds de-centrally managed
7: Percentage of public primary schools	7.1: ASG amount and management regulations approved
using annual school grant (ASG)	7.2: 70 percent of public primary schools use ASG
	7.3: 80 percent of public primary schools use ASG
	7.4: 90 percent of public primary schools use ASG
8: Percentage of schools included in	8.0: National digital ASC system accessible
current-year Annual School Census (ASC) Report	8.1: State digital ASC system operational
	8.2: 90% of schools included in current-year State ASC Report
	8.3: 90% of schools included in current-year National ASC Report
	8.4: 95% of schools included in current-year State ASC Report

1.3 Program Implementation and Institutional Arrangements

10. The Program will use the government systems and implementation structure for implementation, fiduciary, safeguards, M&E, and reporting arrangements. The program will use and strengthen existing implementation arrangements deployed by government for basic education in which the FME is mandated to formulate and coordinate policy while UBEC (federal) and SUBEB (state level) are responsible for UBE program implementation. At FME, a National Program Steering Committee (NPSC) will be established to provide oversight of the HOPE-EDU Program. UBEC will be responsible for DLIs 1-7, working through SUBEBs which have the main implementation responsibility at state level. The FME will be responsible for DLRs 3.0 and 3.4 and DLI 8, working in collaboration with UBEC/SUBEBs and State Ministries of Education (SMEs). The FME will provide oversight of the HOPE-Education program. The Minister of FME will appoint a National Program Coordinator (NPC). The NPC will report to the Minister of Education. The NPC at FME will oversee the program across the relevant departments/agencies; provide regular oversight of national learning assessments and ASC implementation (pertaining to DLRs 3.0 and 3.4, and DLI 8) through the relevant FME department/unit; and oversee any IPF component activities related to DLRs 3.0 and 3.4, and DLI 8. The UBEC Executive Secretary (ES) will designate or hire a Program Manager (PM), who will report to the ES. Acting under the delegated authority of the ES, the PM will be responsible for the daily coordination and supervision of program

activities pertaining to RA1 (DLIs 1-3; providing support to FME as needed for DLRs 3.0 and 3.4), RA2 (DLIs 4-5) and RA3 (DLI 6-7), working in close collaboration with the Directors and Deputy Directors of the UBEC Departments. The PM will also be responsible for all IPF component activities related to these DLIs; as well as for the verification of all DLIs. The Directors of relevant departments at UBEC will be responsible for overseeing the delivery of respective RA activities at the state level and will report on progress through regular UBEC channels. Technical experts will be hired using IPF funds and placed at UBEC to provide implementation support for RA1-RA3 and IPF-related activities; as well as to assist with fiduciary and social and environment safeguard functions. These experts will report to the PM. The NPC and PM will work closely together and hold quarterly meetings with the relevant directors of departments across FME and UBEC.

11. At the state level, each State will establish a State Program Steering Committee (SPSC) to provide program oversight. The SPSC will approve annual work plans and the strategic approaches adopted; ensure that applicable annual eligibility criteria are met, including the establishment of adequately resourced budget lines for the activities need to achieve DLI results; and facilitate effective coordination across agencies, states, development partners and other stakeholders. The SPSC will meet at least twice per year. The SUBEB Chairperson will be responsible for the daily coordination and supervision of activities related to DLIs 1-7 (excepting DLRs 3.0 and 3.4). Heads of relevant departments at SUBEB will have responsibility for ensuring the delivery of DLI-related activities. The SUBEBs will collaborate closely with SMEs in each RA as needed, as well as with the LGEAs; the LGEAs will in turn assist implementation working in cooperation with SBMCs and School Principals. The preparation of the annual state education budget and state UBE plan will be jointly led by the SME/SUBEB, particularly as it relates to ensuring adequate resources are allocated to achieve DLIs, with support and guidance from UBEC. UBEC will hire technical experts using IPF funds who will be placed at the SUBEBs, including at least one expert per Results Area in which the state is participating. Each of these state-level technical experts will support and work in close collaboration with the relevant SUBEB/SME departments and agencies, and will report to the SUBEB Chairperson as well as their counterpart technical expert at UBEC. The SUBEBs and state governments will take responsibility for the experts' working conditions and non-salary operating costs. With respect to DLI 8 (ASC), the SME Commissioner will be responsible for the coordination and oversight of activities, with daily supervision and implementation management being the delegated responsibility of the head of the SME department responsible for education management information systems.

1.4 Program Boundaries and Result areas

12. The government's Universal Basic Education (UBE) Program will provide the program boundary. The proposed PforR would be mapped to pillars of UBEC's 10-Year Roadmap. Five of the Roadmap's seven pillars are supported by the operation; while the other two are supported under another operation in this series, HOPE-Governance (P181476). The HOPE-EDU Program works in three results areas (RAs). RA1 supports improving the quality of education through the introduction of structured pedagogy focused on foundational literacy and numeracy. This is aligned to Pillar 3 (Quality and Learning Outcomes), particularly the strategies to introduce best pedagogical practices supporting learner literacy and numeracy, including the provision of teacher guides and textbooks; as well the Roadmap's strategy to provide regular teacher professional development. RA2 supports increasing equitable access through the creation of new public primary classrooms and making non-formal basic education programs available to out-of-school children. This is aligned to Pillar 1 (Access and Equity), particularly the strategies to provide quality learning environments including WASH facilities and to make basic education programs more widely accessible through both formal and non-formal providers. RA3 supports enhancing key systems for decentralized funding, school management and governance, and management information. This is aligned to Pillar 5 (System Strengthening), particularly the strategies to make school-based management committees (SBMCs) functional and scale up community involvement, and to build capacities in education management information; as well as the strategy to identify learning gaps through learning assessments. Pillars 2 (Crisis and Emergency Response) and 7 (Sector Coordination, Partnership and Collaboration) will be incorporated on a cross-cutting basis into all RAs. The specific boundaries of the operation will also be defined by the geographical participation of states in this operation. The program boundary is summarized in Table 1.2.

	Government's UBE Program	HOPE-Education PforR Program	Extent of alignment
Objective	Accelerated, sustained, inclusive & equitable provision of quality basic education for all children	To improve foundational learning outcomes, increase access to basic education and enhance key education systems in participating states	Aligned
Duration	2021-2030	2025-2029	Aligned, HOPE-Education is a time-slice
Geographi c coverage	National	Open to states that meet eligibility criteria	Aligned
Results areas	 Pillar 1: Access and equity 2: Crisis & emergency response 3: Quality & learning outcomes 4: Teacher quality & management 5: System strengthening 6: Education financing and resourcing 7: Sector coordination, partnership & coordination 	RA1: Improving quality RA2: Increasing access RA3: Enhancing key systems	RA1 aligned to Pillar 3, with focus on strategies to introduce best practices promoting foundational learning. RA2 aligned to Pillar 1, with focus on strategies to provide equitable and accessible learning environments in formal and non-formal settings. RA3 aligned to Pillars 5 and 6, with focus on strategies to strengthen decentralized funding, school autonomy, community involvement, and strengthen EMIS. Pillars 2, 7 incorporated on cross-cutting basis. Parts of Pillars 4, 6, 7 under HOPE-GOV.
Overall Financing	US\$11,280.70 million for 2025-2029	PforR Total: US\$3,722.63 million Borrower: US\$3,202.88 million IDA: US\$473.664 million + GPE: US\$46.086 million	HOPE-Education PforR financing accounts for approximately 33% of the total UBE program financing. Remainder, largely teacher salaries, is under HOPE-GOV PforR.

Table 1.2: HOPE-Education Program Boundary

Results Area 1: Improving Quality. This area aims to ensure that literacy and numeracy are taught to primary students using a Structured Pedagogy Program (SPP) in participating States. The SPP is a coherent package of four integrated elements, which together are designed to improve classroom instruction and subsequently student performance: (i) teaching-learning materials (TLMs); (ii) teacher capacities; (iii) teacher support; and (iv) action-oriented evaluation of learning.

- 13. Using structured pedagogical materials that have been evaluated for effectiveness in a public school system, the participating States will develop, adapt or revise student textbooks and teacher's guides for literacy and numeracy for each of Primary Grades P1-P6, in the applicable national language of instruction. The materials will follow detailed language- and numeracyspecific scope and sequences, with clear skills progressions that progressively build toward higher order skills e.g. beginning students learn the foundations of alphabet knowledge, and decoding skills, on the road toward increasingly automatic reading of words, adequate oral reading fluency, and eventually full reading comprehension. The teacher's guides will provide structured lesson plans, as well as guidance on maximizing instructional time, evidence-based learning activities, appropriate sequencing and pacing, checks for learning, engaging students, and the use of low-cost materials to develop visual teaching aids. The TLMs will be quality assured and audited for gender, inclusion and climate awareness. The textbooks will be supplied to schools on a 1:1 student to book ratio; participating states may also include workbooks to complement the textbooks. To strengthen the textbook supply chain and enhance textbooks management and usage, the federal and participating state governments will operationalize a system to track and trace (TnT) the TLMs from the publisher/printer to the school; and after three years will evaluate textbooks usage, physical state and inventory, in order to develop textbooks management guidelines and improved physical specifications.
- 14. Teachers will be provided with professional development in support of the SPP. Teachers delivering the SPP will be trained on how to effectively use the lesson plans and instructional guidance in the teacher's guide; the training will also include such themes as low-cost TLMS production, gender considerations in student participation and learning, and climate awareness. To ensure the SPP is implemented in the classroom as per design and to strengthen teaching-learning practices, teachers will also be periodically observed and mentored. The federal and state governments will build on existing SPP models to develop valid observation instruments that provide simple, meaningful measures aligned with the TLMs. The mentors will be trained on the correct use of the instrument and good coaching practices, for which purpose they will also be provided with pedagogical support resources. Participating States will ensure that observers are experienced pedagogues, and that their observation and mentoring work is sustainably supported; and will promote increasing the proportion of female mentors. In this latter regard, and depending on local resource availability, the mentor may be for example an LGEA School Support Officer (SSO) or the School Principal.
- 15. To strengthen the effectiveness of the SPP, LGEAs will conduct annual sample-based evaluations of learning in literacy and numeracy for Grades 1 and 2. These rapid evaluation tools will be aligned with critical sub-skills in both reading and mathematics that form the early building blocks in both subjects. To ensure sustainability, and depending on the particular institutional, resource and capacity circumstances of each participating state, the evaluations may be administered by the coaches, an office of the LGEA or other suitable agent. The evaluation results will be analyzed each year by the mentors and education authorities at the level of each LGEA, and used to drive adjustments in the SPP design and delivery for better impact, including through advice given to teachers. To reliably assess the overall effectiveness of the SPP, two national sample-based assessments of learning in basic education will be also be conducted, at baseline and in Year 4, covering at least one grade in each of lower primary, upper primary and JSS. The assessments will be representative at the state level, and benchmark learning against clearly articulated proficiency levels. The results will be discussed by high-level decision makers to review and strengthen education sector strategies and policies, including the

SPP. All learning evaluations and assessments will be disaggregated by sex, and where gaps are identified will be used to take remedial action particularly at the local and school levels.

Results Area 2: Increasing Access. This area aims to increase access to, and enrollment in, primary education in participating States through the community-supported creation of new classrooms and learning opportunities in the public formal and non-formal sectors.

- 16. Government-community partnership agreements will be developed and implemented to create twelve thousand new public primary classrooms in areas where there are no schools within safe walking distance, or where accessible schools have a SCR exceeding 50 and adding an extra shift is not a solution. The partnership agreements between the Community Management Committee (CMC) and the LGEA/SUBEB/SME will detail each party's contributions, including the appointment of a qualified teacher. The agreements will adhere to certain process and end-result standards. The process standards will include the CMC ensuring a minimum community contribution to, and community management and monitoring of, the construction project; as well as the transparent processing of procurements, with information made publicly With respect to end results, the construction works must adhere to applicable available. infrastructural and social-environmental standards; and must include (if absent) a secure perimeter, physical disability access, and adequate gender-segregated WASH facilities. To promote climate resilience, adaptation and mitigation, constructions will need to meet certain standards. These will include designs and materials that: (a) prioritize resilience to extreme weather events and compliance with EDGE Certificate standards (or equivalent); (b) incorporate sustainable power sources and energy-efficient devices; (c) mitigate against extreme heat conditions; and (d) use sustainable building materials. The CMC will plan to use the school as a refuge for persons displaced by extreme climate events; and develop emergency evacuation plans. Partnership agreements will incorporate these standards, and their adherence throughout the design, site selection and construction process will be monitored and verified. (See Annex 2).
- 17. Non-formal learning centers (NFLCs) will be established or strengthened to enable OOSC to complete a literacy and numeracy program. The NFLC may be a traditional faith-based school or a community non-formal learning center. It will have a Centre-Based Management Committee (CBMC) that has been trained on the essential duties of NFLC management, including instructor selection, enabling access, climate awareness and response, child protection, and security awareness and response. The CBMC will also be responsible for community mobilization to identify and attract OOSC. The mobilization process will filter out-of-school children to enroll only those for whom non-formal basic education (NFBE) is appropriate, encouraging enrollment in the formal primary system of those who are able to integrate directly into, and have access to, a public school. In areas where there is an accessible formal primary school that is overcrowded, or where there is no formal primary school, the community will be encouraged to create new primary classrooms using the partnership agreements explained above. The program will be delivered in the relevant national language of instruction, using TLMs that have been formally approved; and may be any of the non-formal basic education programs that provide equivalency to the formal curriculum, such that a child who successfully completes a program and passes the formal evaluation may transition into the formal system. The program will be taught by a qualified and paid instructor.

Results Area 3: Enhancing Key Systems. This area aims to strengthen the allocation and management of UBEIF, and school management, governance and accountability; and to generate information essential for system management.

- 18. The operation will support enhancing States' access to, and the decentralized management of, UBE funds. As part of the HOPE-GOV operation, the Intervention Fund Guidelines (IFG) will be revised to enhance states' access to the UBE matching funds, to allow for greater decentralization in the management of UBE funds, and to strengthen gender-based planning, climate awareness and response, and support for vulnerable groups. Under this operation, the states will operationalize and strengthen systems to de-centrally manage UBE funds, particularly pertaining to core funds for: i) SUBEBs, to manage TLMs procurement and distribution; and ii) LGEAs and community-based organizations, to manage teacher continuous professional development, the monitoring and quality assurance of education services, and communitybased civil works (CBCW).
- 19. The federal and participating state governments will sustainably finance an annual operating grant for public primary schools in participating States; to be managed by the school in accordance with strengthened grant and school management guidelines. The amount of the grant will be established and inscribed in the state annual education budget, in line with available state and federal resources; automatically disbursed each year in regular tranches; and allocated across schools within any given state according to a transparent formula. The strengthened guidelines will cover inter alia the process for developing the grant budget and expending the funds, including fiduciary obligations and requirements pertaining to community participation and monitoring; and a positive list of eligible (including mandatory) expenditures, including resources to monitor and encourage attendance, particularly of children who have dropped out or are at-risk of dropping out; for TLMs; and cleaning, maintenance and small repairs. The guidelines will also strengthen the school's governance and accountability functions, with greater reporting to the community on school activities, and on teacher and student performance; an enhanced attention to child safety and protection, security awareness and response, climate change and resilience, and support to vulnerable groups; and more parental involvement in the school community including to establish developmental priorities and monitor school performance.
- 20. The education management information system will be strengthened in all states and the Federal Capital Territory (FCT), to ensure that the annual school census (ASC) database and statistical report are digitally published using current-year data from at least 95 percent of schools. The digital annual school census (ASC) form maintained by Nigeria Education Management Information System (NEMIS) will be adopted in all states/FCT, which will follow one standard schedule and set of procedures for data collection, transmission and reporting. To this end, the federal and state/FCT governments will cooperate to ensure that the ASC data template and platform are fully accessible, and capacities are in place to operate the system at all levels; states will ensure that SMEs and LGEAs have adequate IT infrastructure for data collection, processing and validation. Digital platforms will be upgraded as needed to enable the publication and downloading of disaggregated ASC results down to the school level. Further, data requirements and school codes will be aligned across the ASC and National Personnel Audit (NPA) platforms, to ensure their interoperability.

1.5 Excluded Activities

- 21. The Program will exclude activities that do not meet the World Bank's Policy on eligibility for PforR financing (September 2020). The borrower shall ensure that the Program excludes any activity that, in the opinion of the World Bank, is likely to have significant adverse environmental impacts that are sensitive, diverse, or unprecedented and/or requires significant land acquisition, displacement, and/or resettlement of affected people.
- 22. Any Program activity that entails land acquisition, negative impact on natural habitat and cultural resources, public and worker's health and safety will not be funded under the PforR without adequate environmental and social management. For example, the program will support the procurement of civil works, and materials only when an adequate e-waste management plan is in place of the bidding document and monitored and reported regularly.

1.6 Scope of the Environmental and Social Management System Assessment (ESSA)

- 23. The ESSA for the program examines the extent to which the Federal and State Government's existing environmental and social management systems: operates within, an adequate legal and regulatory framework to guide environmental and social impact assessments, mitigation, management and monitoring at the PforR Program level; It evaluates how the system incorporates recognized elements of good practice in environmental and social assessment and management, via due diligence including: (i) early screening of potential impacts; (ii) the consideration of strategic, technical, and site alternatives (including the "no action" alternative); (iii) explicit assessment of potential induced, cumulative, and transboundary impacts; (iv) the identification of measures to mitigate adverse environmental or social risks and impacts that cannot be otherwise avoided or minimized; (v) clear articulation of institutional responsibilities and resources to support implementation of plans; and (vi) responsiveness and accountability through stakeholder consultation, timely dissemination of the PforR Program information, and responsive grievance redress mechanisms; among others. Based on these findings, the ESSA thereafter defines measures to strengthen the system and recommends measures that will be integrated into the overall Program.
- 24. This ESSA has been prepared for the HOPE-Education Program to ensure consistency with the "core principles" outlined in the World Bank's policy for the PforR instrument to effectively manage the Program's risks and impacts while promoting sustainable development. These six core principles are:
 - a) **Environment**: To promote environmental and social sustainability in the Program design; avoid, minimize, or mitigate adverse impacts, and promote informed decision-making relating to the Program's environmental and social impacts.
 - b) Natural Habitats and Cultural Resources: To avoid, minimize, or mitigate adverse impacts on natural habitats and physical cultural resources resulting from the Program.
 - c) Public and Worker Safety: To protect public and worker safety against the potential risks associated with: (a) construction and/or operations of facilities or other operational practices under the Program; (b) exposure to toxic chemicals, hazardous wastes, and other dangerous materials under the Program; and (c) reconstruction or rehabilitation of infrastructure located in areas prone to natural hazards.
 - d) Land Acquisition: To manage land acquisition and loss of access to natural resources in a way that avoids or minimizes displacement and assist the affected people in improving, or at the minimum restoring, their livelihoods and living standards.

- e) **Vulnerable Groups:** To give due consideration to the cultural appropriateness of and equitable access to Program benefits, giving special attention to the rights and interests of Indigenous Peoples and to the needs or concerns of vulnerable groups.
- f) **Social Conflict:** To avoid exacerbating social conflict, especially in fragile states, post-conflict areas, or areas subject to territorial dispute.
- 25. In line with the six core principles above, the relevant risks associated with the HOPE Program and within the proposed Result Areas (RAs) under the PforR covers environmental and social issues and include:
 - a) Increased generation of e-waste due to possible use of digital devices in monitoring the effectiveness of structured pedagogical program (SPP) materials in DLI 3 and, digitization of annual school census (ASC) and digital submission and publication of validated data in DLI8, which will involve the use of ICT systems.
 - b) The procurement and delivery of civil works, equipment, and materials, including the construction of twelve thousand new public classrooms through government-community partnerships in DLIs 4 and the creation of new public primary classrooms through government-community partnerships in DLI 5 could lead to adverse environmental and social impacts associated with these activities, such as the generation of solid waste, noise, and air pollution.
 - c) Potential exclusion of vulnerable groups, e.g. children with disabilities, those in conflict zones, nomadic households, internally displaced persons (IDPs), etc., where there are no targeted approaches to mainstream them into the educational system.
 - d) Potential discrimination of vulnerable groups due to ethnic considerations, sexual abuse or harassment of children in the provision of teaching and learning materials (TLM) in DLI 1 and women in the recruitment of a qualified teacher and instructors in Non-Formal Learning Centres (NFLC) in DLI 5.
 - e) Conflicts and security risks could disrupt the Program's implementation. Insurgents and bandits can target schools and teachers.
 - f) Resistance by members of the community who may view the Program, especially for girls, as conflicting with cultural beliefs. On the contrary exclusion of stakeholders can also lead to mistrust and resistance of the Program.
 - g) The procurement and delivery of civil works, equipment, and materials in DLI4 could also impact workers' health and safety.
 - h) Construction of twelve thousand new classrooms in public primary under DLI4 could result in land acquisition for building more classrooms. This could result in social risks associated with land acquisition.
 - i) Potential risk of child abuse and child labour during the construction of new public primary classrooms
- 26. The details of the applicability of Core Environmental and Social Principles (CP) to HOPE PforR Result Area and Disbursement Linked Indicators (DLIs) are presented in Annex 1. A summary of DLI applicability by Core Principle is presented in the table below.

Table 1.3: Summary of DLIs Applicability by Core Principle

DLI No	DLI		Applicability by Core Principle

		Environment	Social
1	1: Number of schools with sufficient TLMs for literacy and numeracy	CP1	CP5
2	2: Number of primary teachers with improved structured pedagogy practices		CP5
3	3: percentage of children proficient in literacy and numeracy		C5
4	4: Number of new primary classrooms created through community participation	CP1, CP2	CP3, CP4, CP5, C6
5	5: Number of children who complete NFBE program	CP1, CP2	CP3, CP4, CP5, C6
8	8: Percentage of schools included in current-year Annual School Census report	CP1	

1.7 Objectives of this ESSA

27. The specific objectives of this ESSA are to:

- a) Identify the potential environmental and social impacts/risks applicable to the Program's interventions.
- b) Review all relevant Nigerian policy and the legal framework of the Government of Nigeria (GoN) and relevant State Governments related to the management of environmental and social impacts of the Program's interventions.
- c) Review the environmental and social due diligence management procedures and institutional responsibilities that the GoN is using for the HOPE-Education program.
- d) Assess capacity within domestic revenue generation institutions and Environmental and Social management within the public sector operating systems of the GoN put in place for environmental and social impact management within the Program system.
- e) Assess the Program's system performance concerning the core principles of the Programfor-Results (PforR) instrument, as well as identify gaps in the Program's performance.
- f) Recommended actions to fill gaps identified that will be embedded into the Program Action Plan (PAP) to strengthen the Program's performance with respect to the core principles on Environment and Social of the PforR instrument to ensure sustainable implementation via good due diligence
- 28. The overall environmental and social risks have been assessed and deemed to be **Substantial**. Although the program activities are not likely to require significant changes to the borrower's overall environmental systems, the program was generally assessed as substantial because of the procurement of civil works and material in DLIs 4, possible community land acquisition for building of classrooms, e-waste as a result of possible deployment of learning packages to teachers in the form of digital devices in DLI 1, and, digitization of annual school census and database and publishing of statistical report digitally in DLI8 and the envisaged social risks associated with recruitment qualified teachers and instructors DLI5.

1.8 Approach of ESSA

- 29. The World Bank team prepared the ESSA through a combination of detailed reviews of existing program materials and available technical literature, including policies, regulations, guidelines and examples of due diligence and design documents, interviews and extensive consultations with government staff, non-governmental organizations, regulatory agencies, private sector organizations and sector experts associated with public revenue generation. Based on the analysis conducted, the findings, conclusions and opinions expressed in the ESSA are those of the Bank.
- 30. An environmental and social risk screening of proposed activities was undertaken at the concept stage. The purpose of the screening was to:
 - Confirm that there are no activities which meet the defined exclusion criteria included in the PforR in line with the Bank Guideline for the ESSA; and
 - Establish the initial scope of the ESSA. This includes identification of relevant systems under the PforR and relevant stakeholders for engagement and consultations.
- 31. System Assessment (September 2020). The guidance sets out core principles (See Section I.5) and planning elements used to ensure that PforR operations are designed and implemented in a manner that maximizes potential environmental and social benefits while avoiding, minimizing or mitigating environmental and social harm.
- 32. Following the initial screening, the system review was conducted using a two-step approach:
 - Identification of relevant systems that are pertinent to the ESSA was addressed in Section IV which presents an overview of relevant government environmental and social management systems; and
 - Assessment of CLIENT'S environmental and social management systems for consistency with the applicable Core Principles, including capacity and enforcement of certain environmental and social measures, was addressed in Section V, while environmental and social recommendations were addressed in Section VI.

SECTION II: STAKEHOLDER CONSULTATION

33. This section summarizes the stakeholder consultation activities undertaken for the ESSA. The ESSA process includes extensive stakeholder consultations and disclosure of the ESSA Report, in accordance with the World Bank Policy and Directive for Program for-Results Financing and Access to Information Policy. Currently, the ESSA consultation process is embedded in the Program consultation process. Feedback from stakeholders have been instrumental in designing and revising the Program Action Plan, indicators, and program operations manuals and appraisal documents via providing data and details on the existing situation, management status and government priorities regarding primary health care and basic education.

- 34. Initial consultations with the government and a large group of stakeholders over a period led to the formulation of the HOPE PforR. The outcomes of those consultations are embedded in this program and have influenced its design. Further consultations were held with State government stakeholders on the 22nd and 24th of April 2024 for states in Northern Nigeria and Southern Nigeria, respectively targeted at HOPE-GOV which also included education.
- 35. The stakeholders consulted include the Directors from the State Ministry of Budget and Economic Planning, State Ministry of Education, SUBEB, State Ministry of Health, State Primary Health Care Development Boards, and State Health Insurance Agencies.
- 36. Three states from Northern Nigeria, namely Kwara, Borno and Nasarawa on the 22nd of April and Taraba on the 24th of April. On the other hand, five states from Southern Nigeria, namely Anambra, Enugu, Osun, Rivers and Ogun participated in the discussion. The attendance list is presented in Annex 3.
- 37. During the discussion, the stakeholders received detailed information about the purpose of the consultation and the HOPE program, including the project development objectives, the result areas, and the DLIs. The World Bank team also inquired from the stakeholders about the capacity of the states to handle E&S issues. Several questions based on the result areas were raised by the World Bank team, to which representatives from the states responded. The questions centered on the capacity of the states to handle E&S issues in procurement, availability of legislations and regulations and procedures for handling ESIA, ESMP, e-waste management, solid waste management, hospital waste management, grievance redress mechanism, gender-based violence and sexual harassment, OHS procedures, social inclusion, among others.
- 38. Specific consultation was also held for HOPE-Edu on 5th December 2024. The summary of the stakeholder's concerns and responses from the bank team is in Table 2.1 below. The detailed report of the stakeholder consultation is in the Annex.

Table 2.1: Summary of the stakeholder's concerns and responses from the bank team

Comments and Concerns of Stakeholders	Responses
There were concerns about the lack of explicit mention of key agencies such as the Universal Basic Education Commission (UBEC) and State Universal Basic Education Boards (SUBEB) in the program action plan, despite their important roles in education.	The was noted.
Despite their inclusion in vulnerable groups, the action plan did not specifically mention children with disabilities. The alignment with Nigeria's National Inclusive Education Policy raised questions	It was noted that vulnerable groups also include children with disabilities and others but none was explicitly mentioned in the PAP.
There were also concerns about the lack of involvement of relevant stakeholders, such as the National Commission for Persons with Disabilities (NCPWD) and organizations representing persons with disabilities (OPDs), as well as the omission of key legislative references, like the Disability Act and the UN Convention on the Rights of Persons with Disabilities	It was noted that all stakeholders were involved in the formulation of the Program by the World Bank Technical Team for Education. The team noted that the disability act will be included as part of institutional framework for vulnerable people.
For long-term sustainability, there was a strong emphasis on the program's full integration into Nigeria's educational ecosystem	The team indicated that it was already the case as the technical took account of the Nigeria educational ecosystem in Program design.
Engaging all stakeholders at federal, state, and local levels, such as national education boards, local authorities, state assemblies, and development partners, was a concern to ensure effective participation and prevent weak links in the program	It was observed that the technical team has made effort to engage all the stakeholders and that consultation with stakeholders is a continuous process.
Need for capacity building for new environmental and social safeguard (ESS) teams, particularly in emerging rural communities and areas with empty classrooms	This was noted and is already part of the PAP
Stakeholders in attendance recommended a holistic approach to program implementation, involving all levels of government and stakeholders and leveraging local partnerships to strengthen accountability and inclusion	Noted

SECTION III: DESCRIPTION OF EXPECTED PROGRAM ENVIRONMENTAL AND SOCIAL IMPACTS

3.1 Overview of Program Risks and Benefits

39. The PforR component of HOPE-Edu will generate some E&S benefits and risks. The environmental risks include e-waste, which could result from possible deployment of learning packages to teachers in the form of digital devices and digitization of annual school census and database and publishing of statistical report digitally. Also, construction and classroom expansion, would pose some environmental risks associated with construction. On the other hand, the environmental benefits are minimal and limited to the benefits of maintaining and cleaning school compounds. The detailed range of key environmental and social risks and benefits associated with specific DLI in HOPE-Edu PforR is presented in Annex 3.

3.2 Expected Environmental Benefits

40. The PforR program will deliver minimal direct environmental benefits. Environment benefits will accrue through the use of school-based management grants for cleaning and maintaining school premises as outlined in DLI 7.

3.3 Expected Environmental Risks and Impacts

- 41. The HOPE-Edu PforR has some activities that are expected to impact the environment. The construction, and classroom expansion associated with DLI 4 and DLI 5, could significantly impact the environment. The procurement of civil works, construction, and classroom expansion is associated with significant direct and indirect environmental risks such as solid waste generation, noise, land degradation, air pollution and destruction of flora and fauna, which could lead to biodiversity loss.
- 42. The supply of teaching-learning materials (TLMs) associated with DLI1 and printing and distribution of Non-Formal Basic Education (NFBE) TLMs will involve printing textbooks and teacher guides with structured lesson plans. This will consume a large amount of paper, thus impacting forests and trees used to manufacture paper. Digitizing the learning packages could reduce the impact.
- 43. In addition, the possibility of deploying learning packages to teachers in the form of digital devices, digitizing teacher observation instruments, possible use of digital means in the evaluation of learning in literacy and numeracy by Local Government Education Authorities (LGEAs) the digitization of annual school census and transmission, , and adoption of a standardized and automated reporting format associated with DLIs 1, 2, 3 and 8 could result in the generation of e-waste.

3.4 Expected Social Benefits

44. The HOPE -Edu PforR has many social benefits that will result from achieving the DLIs. These benefits include increased school enrollment, enhanced literacy and numeracy among school children, reduced out-of-school children (OOSC), enhanced performance of pupils and students in basic education, enhanced economic development, and poverty reduction, especially among the most vulnerable. The pathway for the social benefit of the intervention is shown in Figure 3.1 below



Figure 3.1: Pathway of social benefits of interventions under the Program.

- 45. Activities associated with ensuring the availability of essential teaching-learning materials (DLI1), enhancing teachers' competencies in teaching foundational literacy and numeracy through professional development support and mentoring of teachers on SPP (DLI2) will result in significant social benefits. Activities which include the supply of teaching-learning materials (TLMs) that are designed to foster mastery of foundational literacy and numeracy, including textbooks and teacher guides with structured lesson plans, professional development of teachers to effectively use the teaching-learning materials and apply the structured pedagogical approach for literacy and numeracy, mentoring of teachers and training of mentors on the correct use of the instrument and good coaching practices, enhancing literacy and numeracy programs in non-formal learning centres (NFLCs) by OOSC in DLI 5 will result in enhanced performance of pupils and students in basic education as will be manifested in their end of year results and other performance assessment measures, increased enrollment in basic education, reduction in OOSC, and their improved literacy and numeracy.
- 46. Furthermore, activities associated with increased access to primary education (DLI 4), which include the establishment of twelve thousand public primary classrooms specially in areas where they do not exist and recruitment of qualified teachers and instructors for NFLCs will enhance access to education by people without access to education, guarantee that qualified and competent teachers are available in in NFLCs and primary schools in rural communities thus increasing enrollment of pupils in schools, reduction in OOSC, and improved literacy and numeracy of pupils in primary education.
- 47. Also, achieving DLI 7 (strengthened school management, governance, and financial autonomy) will ensure that schools receive and properly manage school grants, which will, in turn, encourage school attendance, particularly for children who have dropped out or are at risk of dropping out, and enable school management to purchase materials for teaching-learning purposes. This will ultimately reduce the number of OOSCs and improve the literacy and numeracy of pupils in primary education. Also, ensuring that school management committees are well constituted and own bank or other financial accounts that can be accessed and managed transparently will equally facilitate financial inclusion in Nigeria. Although formal financial inclusion has grown significantly in Nigeria

from 52 percent in 2020 to 64 percent in 2023, over 28.9 million adult Nigerians (26.0 percent) are still financially excluded⁹.

48. In addition, enhancing the availability of information for education system management, especially through digitizing school-based data collection and transmission, as detailed in DLI8, will facilitate the availability of data for basic education management in the states and Nigeria. Effective management of basic education will facilitate improved education outcomes, reduction in unemployment, and enhanced economic development.

3.5 Social Risks and Impact

- 49. The HOPE-Edu PforR is also associated with some social risks. The construction and establishment of twelve thousand classrooms by communities in DLI 4 could potentially impact workers' health and safety for workers involved in construction works related to these DLI. The workers may be exposed to pollution caused by dust and noise at the work site. There could also be child labour, and influx of workers to the communities where rehabilitation work will occur which could lead to complaints from the host communities. This may affect the communities as there could be cases of sexual abuse and other vices, for example, drug abuse. Where the schools are not accessible for children and staff with disabilities, it may further create more exclusion. It is critical that the construction activities adhere to the National Accessibility Regulation¹⁰ that provides the standards to ensure public infrastructures are accessible for all, including Persons with Disabilities.
- 50. There could be potential exclusion of children with disabilities where they are no targeted approaches to include them into the program as beneficiaries or make the schools inclusive. Other vulnerable groups that may be potentially excluded include children in conflict zones, nomadic households IDPs, exclusion due to ethnic considerations, etc. Child labour may occur, as most OOSC are already engaged in various forms of labour. There could be potential issues on child abuse, SEA/SH on children and sexual abuse or harassment of women in the hiring and appointment of qualified instructors, in DLI 5. In addition, although social conflict as envisaged by ESSA, especially regarding armed conflict, is not applicable, exclusion of vulnerable groups and discrimination along the lines of ethnicity and religion in the distribution of TLMs, training of teachers in the use of structured pedagogy materials, coaching of mentors on the correct use of the instrument and good coaching practices, recruitment and hiring of trained instructors and teachers can result in complaints, social unrest and demonstrations. Conflicts and security risks could disrupt the Program, as schools and teacher can be targeted by insurgents. Resistance by member of the community who may view the Program especially for girls, as conflicting with cultural beliefs. On the contrary exclusion of stakeholders can also lead to mistrust and resistance of the Program.
- 51. In addition, the acquisition of land for civil works, construction and expansion of classrooms in communities associated with activities of DLI 4 could result in conflict among community members if not well handled, especially through an acceptable land donation protocol.

⁹ EflnA (2023) Access to financial services in Nigeria, 2023 report.

¹⁰ ncpwd.gov.ng/pdfs/44document.pdf

52. In addition, the constitution of the School-Based Management Committee (SBMC) and the disbursement of grants and school-based management of grant may potentially be open to corruption and mismanagement of funds not withstanding the recommendation that a bank account should be used for transparent management.

SECTION IV: OVERVIEW OF RELEVANT BORROWERS ENVIRONMENTAL AND SOCIAL MANAGEMENT SYSTEMS

53. The government of Nigeria (GON) has several policies, instruments, and laws that support environmental and social management and environmental and social impact assessment processes. There are a number of sectoral policies which provide directives to integrate environmental and social considerations in the decision-making process to avoid or minimize impacts associated with program implementation. This section summarizes the policy, regulatory, institutional and legal frameworks for environmental management Nigeria.

4.1 The Constitution of the Federal Republic of Nigeria (1999)

54. The basis of environmental policy in Nigeria is contained in the 1999 Nigerian Constitution of the Federal Republic of Nigeria. Pursuant to section 20 of the Constitution, the State is empowered to protect and improve the environment and safeguard the water, air and land, forest, and wildlife of Nigeria. Similarly, social policy in Nigeria also originates from the 1999 Nigerian Constitution of the Federal Republic of Nigeria. Section 17 encourages the state to pursue equality of rights, equal pay for equal work, obligations, opportunities and human dignity for all citizens. In addition, the state shall avoid social exclusion and discrimination of any form, including gender, protection of children and vulnerable from any exploitation and moral and material neglect. The state will also promote equal access to facilities, including basic education.

4.2 Policies Relevant to the HOPE-Edu Program

55. The national policies relevant to the HOPE PforR is presented in Table 4.1.

Policy	Objectives	
National Policy	Overall Policy Goal	
on the	To define a new holistic framework for guidance, management and protection of the	
Environment	environment as well as the conservation of natural resources for sustainable development' of	
(Revised 2016)	vised 2016) the country.	
	Objectives	
	Ensuring and securing the quality of Nigeria's environment to support good health and well-	
	being;	
	Promoting efficient and sustainable use of Nigeria's natural resources and the restoration	
	and maintenance of the biological diversity of ecosystems;	
	Promoting understanding of essential linkages between the environment, social and	
	economic developmental issues;	
	Encouraging individual and community participation in environmental improvement	
	initiatives;	
	 Raising public awareness and engendering a national culture of environmental preservation; 	
	and	
	 Building partnership among all stakeholders, including government at all levels, international institutions, and governments, non governmental agencies, and communities, on 	
	institutions and governments, non-governmental agencies and communities on environmental matters.	
National Policy		
on Education	Overall Policy Goal	
	Nigeria's national education policy aims to standardize education across all levels, as outlined	
	in the Constitution of the Federal Republic of Nigeria, 1999.	
	in the constitution of the rederal Republic of Nigeria, 1999.	

Table 4.1: Nigerian Policies Relevant to the HOPE Program

	 Objectives Philosophy: fostering national unity, promoting social mobility, and developing the individual's potential. Universal Basic Education: Ensuring equal access to quality education for all citizens, regardless of background or socio-economic status. Science & Technology Education: Developing a skilled workforce and promoting technological advancement. National Development: Preparing students to contribute to Nigeria's economic, social, and cultural development. To Achieve These, the policy emphasizes: Accessibility: Expanding educational opportunities to underserved populations. Relevance: Aligning education with Nigeria's development needs. Quality: Improving teaching standards, curriculum, and infrastructure. Efficiency: Optimising resources utilization and management. The Federal Ministry of Education oversees the implementation of these policies, ensuring consistencies and progress towards Nigeria's educational objectives.
National Gender Policy (2006)	Overall Policy Goal The goal of the gender policy is to "build a just society devoid of discrimination, harness the dull potentials of all social groups regardless of sex or circumstance, promote the enjoyment of fundamental human rights and protect the health, social, economic and political well- being of all citizens in order to achieve equitable rapid economic growth, evolve an evidence based planning and governance system where human, social, financial and technological resources are efficiently deployed for sustainable development". One of the principles of the gender policy is a general recognition that gender issues are central and critical to the achievement of national development goals and objectives and by extension water, sanitation and hygiene programs.
	 Objectives Establish the framework for gender responsiveness in all public and private spheres and strengthen capacities of all stakeholders to deliver their component mandate of the gender policy and National Strategic Framework Develop and apply gender mainstreaming approaches, tools and instruments that are compatible with the macro- policy framework of the country at any given time towards national development. Adopt gender mainstreaming as a core value and practice in social transformation, organisational cultures and in the general polity in Nigeria. Incorporate the principles of CEDAW and other global and regional frameworks that support gender equality and women empowerment in the country's laws, legislative processes, judicial and administrative systems Achieve minimum threshold of representation for women in order to promote equal opportunity in all areas of political social and economic life of the country for women as well as for men. Undertake women and men-specific projects as a means of developing the capabilities of both women and men, to enable them take advantage of economic and political opportunities towards the achievement of gender equality and women's empowerment to the attainment of overall national development.

4.3 Relevant Nigerian National Laws

56. The national laws relevant to HOPE-Edu PforR are presented in Table 4.2. Table 4.2: Nigerian Laws that are Relevant to the HOPE-Edu Program

S/N	Law	Description/Summary of Objectives	
	onmental Acts		
1	EIA Act - CAP. E12 L.F.N. 2004	 The main aim of the Act is to ensure environmentally sound and sustainable development projects. To carry out an EIA on all projects likely to have significant impact on the environment Encourage information exchange and consultation between all stakeholders when proposed activities are likely to have significant impact on the environment. 	
2	National Environmental Standards and Regulations, Enforcement Agency Act, (NESREA) 2007	 Enforce compliance with national (and international) laws, legislations, guidelines, policies and standards on environmental matters; Coordinate and liaise with, stakeholders, within and outside Nigeria on matters of environmental standards, regulations and enforcement; Ensure that environmental projects funded by donor organizations and external support agencies adhere to regulations in environmental safety and protection; Enforce environmental control measures through registration, licensing and permitting Systems other than in the oil and gas sector; and Conduct environmental audit and establish data bank on regulatory and enforcement mechanisms of environmental standards other than in the oil and gas sector. Some relevant sections include <u>Section 7:</u> Authority to ensure compliance with all of Nigeria's environmental laws and treaty obligations; and <u>Section 8 (1) K and Section 27:</u> Authority to make and review regulations on air and water quality, discharge of effluents and other harmful substances as well as control of other forms of environmental quality; conduct field follow-up of compliance with set standards and take procedures prescribed by law against any violator; subject to the provision of the Constitution of the Federal Republic of Nigeria, 1999, and in collaboration with relevant judicial authorities establish mobile courts to expeditiously dispense cases of violation of environmental regulation. 	
Social Acts			
5	Trade Union Amended Act 2005	 Makes provisions with respect to the formation, registration and organization of trade unions, and the Federation of Trade Unions It states, "notwithstanding anything to the contrary in this Act, membership of a trade union by employees shall be voluntary and no employee shall be forced to join any trade union or be victimized for refusing to join or remain a member". The amended Act, to ensure the funding of trade unions, empowers employers to make deduction from the wages of every worker who is a member of any of the trade unions for the purpose of paying contributions to the trade union so registered; 	
6	Employees Compensation Act (2010)	 This Act repeals the Workmen Act of 1980. The objectives of the Act include Provide for an open and fair system of guaranteed and adequate compensation for all employees or their dependents for any death, injury, disease or disability arising out of or in the course of employment; provide rehabilitation to employees with work-related disabilities as provided in this Act; establish and maintain a solvent compensation fund managed in the interest of employees and employers; provide for fair and adequate assessments for employers; 	

	provide an appeal procedure that is simple, fair and accessible, with minimal delays; and combine efforts and resources of relevant stakeholders for the prevention of workplace disabilities, including the enforcement of occupational safety and health standards.	
Trade Dispute 7 Act CAP. T8 LFN 2004	The Act makes provisions for the settlement of trade disputes and other matters ancillary thereto. The Act established the National Industrial Court. The Act provides for procedure of settling dispute before it is reported; apprehension of trade dispute by the Minister; reporting of dispute if not amicably settled; appointment of conciliator, etc. Regarding the procedure before dispute is reported, the Act provides that parties to the dispute shall first attempt to settle it by an agreed means for settlement of the dispute apart from the Act. It is only when this procedure fails or does not exist that the parties report within seven days and come together to settle the dispute and decide on the cause of action for the settlement of the dispute.	
8 Labor Act CAP L1 LFN 2004	Act provides for the protection of wages, contracts of employment and terms and conditions of employment as well as recruiting guidelines. It provides for special classes of worker and miscellaneous special provisions. The Act in the different parts made a lot of provisions to ensure that the interest of the worker is protected. For example, under protection of wages the Act made provisions to ensure that the worker's dignity regarding wages is maintained. For example, the Act provides in part 1No 2 that no employer shall impose in any contract for the employment of any worker any terms as to the place at which, or the manner in which, or the person with whom any wages paid to the worker are to be expended; and every contract between an employer and a worker containing any such terms shall be illegal, null and void	
9 Child Right Act 2003	Incorporate into its laws all the rights guaranteed in the United Nations' Convention on the Rights of the Child. The U.N. convention, adopted in 1989, states that: "The child shall be protected against all forms of neglect, cruelty and exploitation. He shall not be admitted to employment before an appropriate minimum age; he shall in no case be caused or permitted to engage in any occupation or employment which would prejudice his health or education, or interfere with his physical, mental or moral development." The Act must be ratified by each state to become law in its territory.	
Violence Against 10 Persons (Prohibition) VAPP Act 2015	The Act was passed into law in a bid to eliminate violence in private and public life; prohibit all forms of violence, including physical, sexual, psychological, domestic, harmful traditional practices; discrimination against persons and to provide maximum protection and effective remedies for victims and punishment of offenders The content of the Act is rich in its provisions as it covers most of the prevalent forms of violence in Nigeria today ranging from physical violence; psychological violence; sexual violence; harmful traditional practices; and socio-economic violence. The National Agency for the Prohibition of Trafficking in Persons (NAPTIP) is named as the service provider. Under the VAPP Act, the following offences are punishable offences.; orape, spousal battery, forceful ejection from home, forced financial dependence or economic abuse, harmful widowhood practices, female circumcision or genital mutilation, abandonment of children, harmful traditional practices, harmful substance attacks such as acid baths, political violence, forced isolation and separation from family and friends, depriving persons of their liberty, incest,	
Education Act		

Compulsory, Free and Universal Basic Education Act	 Intervention for uniform and qualitative basic education in Nigeria, as assistance to states and local government areas which are constitutionally responsible for primary education. As stated in the Act, "without prejudice to the provisions of item 30 of Part II of the Second Schedule and item 2 (a) of the Fourth Schedule to the 1999 Constitution dealing with primary school education, the Federal Government's intervention under this Act shall only be an assistance to the States and Local Government in Nigeria for the purposes of uniform and qualitative basic education throughout Nigeria". The Act provides that every government in Nigeria shall provide free and compulsory free basic education for every child of primary and junior secondary school age and that parents shall send their children to school and ensure that their wards complete primary and junior secondary education. The government and stakeholders are to ensure that parents take their wards to school. The Act provides that services in public schools for primary and junior secondary education are free of charge.
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4.4 Relevant Nigerian National Environmental Regulations

57. The national environmental regulations relevant to HOPE-Edu PforR are presented in Table 4.3.

Table 4.3: Relevant Nigerian National Environmental Regulations

S/N	Regulation	Objectives
	National Environmental (Permitting	The provisions of this Regulation enable consistent application of
1	and Licensing System) Regulations,	environmental laws, regulations and standards in all sectors of the economy
	2009. S. I. No. 29.	and geographical regions.
	National Environmental (Sanitation	To provide the legal framework for the adoption of sustainable and
2	and Wastes Control) Regulations,	environment friendly practices in environmental sanitation and waste
	2009. S.I. No. 28	management to minimize pollution.

4.5 Nigeria's Institutional Framework

4.5.1 Nigeria's Institutional Framework

58. The Federal Ministries whose functions and responsibilities are relevant to the HOPE-Edu PforR is presented in Table 4.4.

Table 4.4: Relevant Ministries and Agencies and Their Functions at the Federal Level

S/N	Ministry	Relevant Functions and Responsibilities	
1	Federal Ministry of Finance, Budget and National Planning	lin collaboration with the World Bank controls disbursement of funds. Program funds will be channeled through the Federal Ministry of Finance.	
2	Federal Ministry of Environment (FMEnv)	The focal ministry of environmental issues in Nigeria. They will lead in implementing environmental actions at the federal level as recommended in the PAP. They are also responsible for oversight and disclosure regarding EIA at the federal level.	
3	The Federal Ministry of Labor and Employment	 Development and promotion of productive employment policies and programs for employment generation and actualization of national employment policies of the Federal Government. 	

		 Skills Development, upgrading, certification, placement and empowerment of artisans, tradesmen, and applicants in various areas of national needs Provision of Social Security Coverage, Welfare and Employee's Compensation to the nation's workforce Provision of Labor Protection Services, supervision, enforcement, Education, Promotion of Social Justice, Ratification, Implementation and Review of National Labor Laws and Policies including collective bargained agreements. Trade Unions Education and Training 	
		 Trade Onions Education and Training International Labor Diplomacy 	
		 Promotion of Occupational Safety and Health under the Occupational Safety and Health Department 	
		 Enforcement of the Labor Laws under the Inspectorate Department (INSP) 	
4	Federal Ministry of Women Affairs, Community and Social DevelopmentThe focal ministry of social issues in Nigeria. They will lead in implementi and monitoring environmental and social actions at the federal level recommended in the PAP		
5	Federal Ministry of Education	 The Federal Ministry of Education (FME) is responsible for policy formulation, coordination and management of education at the federal level. It has a mission to "to use education as a tool for fostering the development of all Nigerian citizens to their full potentials, in the promotion of a strong, democratic, egalitarian, prosperous, indivisible and indissoluble sovereign nation under God". The ministry in collaboration with its agencies, especially UBEC, is the focal ministry responsible for the Implementation of the education aspects of the Program at the federal level. 	

4.5.2 State Ministries Relevant to HOPE-Edu PforR

59. The State Ministries whose functions and responsibilities are relevant to the HOPE-Edu PforR is presented in Table 4.5.

S/N	Ministry	Relevant Functions and Responsibilities
1	State Ministry of Finance, Budget and Economic Planning	 In collaboration with the World Bank controls disbursement of funds. Program funds will be channeled through the State Ministry of Finance
2	State Ministry of Environment (SMEnv)	The focal ministry of environmental issues at the state level. All the states have a ministry responsible for environmental issues. The will lead in implementing environmental actions at the state level as recommended in the PAP. They are also responsible for oversight and disclosure regarding EIA at the state level. Some states have environmental protection and waste management agencies responsible for waste management and other environmental protection activities in the states.
3	State Ministry of Women Affairs, Community and Social Development	 The focal ministry of social issues in states. They will lead in implementing and monitoring d social actions at the state level as recommended in the PAP
4	State Ministry of Education	 The State Ministries of Education (SME) are responsible for policy formulation and management of education at the state level. Each of the 36 states and FCT has a ministry or department as in the case of FCT, responsible for education. The SME is the focal ministry in conjunction with UBEC is responsible for the implementation of the education aspect of the Program at the State level.

4.6 Management of Land Acquisition in Nigeria

60. Land holdings in Nigeria can be broadly classified into public/government, private, and communal. Public/government lands are lands owned by the government comprising federal, state and local governments and their agencies. Private lands are defined as those whose ownership is vested in private individuals, families, and lands under

customary tenancies. Communal lands are lands which have their ownership vested in communities. These lands are usually administered by community leaders with the assistance of their councils of elders.

- 61. The legal basis for land acquisition and resettlement in Nigeria is the Land Use Act of 1978 which was modified in 1990. The critical sections regarding land acquisition are as follows:
 - a) Section 1: Subject to the provision of this Act, all land comprised in the territory of each state in the Federation is hereby vested in the Governor of each state and such land shall be held in trust and administered for the use and common benefit of all Nigerians in accordance with the provision of this Act.
 - b) Section 2: (a) All land in urban areas shall be under the control and management of the Governor of each State, and (b) all other land shall be under the control and management of the local government within the area of jurisdiction in which the land is situated.
- 62. Therefore, according to the Land Use Act, all land in Nigeria is vested in the Governor of each State and shall be held in trust for the use and common benefit of all people. The administration of land area is divided into urban land which is directly under the control and management of the Governor is each State; and non-urban land, which is under the control and management of the Local Government. The Governor of each State has the right to grant statutory rights of occupancy to any person or any purpose; and the Local Government will have the right to grant customary rights of occupancy to any person or organization for agricultural, residential and other purposes.

4.7 Grievance Redress Mechanism

63. In Nigeria, there are different approaches, (but often specific to issues, institutions involved or projects), to make complaints and get redress. First, the justice system provides for courts where aggrieved persons can seek redress. Also there is the Public Complaints Commission where individuals and groups can lodge complaints against administrative injustice and get redress. Besides, the Ministry of Justice has the Legal Aids Council established under the law (Legal Aids CAP L9, 2011) which provides legal aid and advice to people with low income, among others. Some state governments also have different institutional arrangements for providing free legal services to the poor and vulnerable, for example, Public Defender Law and Multidoor Courthouse Law in Delta State Nigeria; and the Special People's Law in Lagos State Nigeria.

SECTION V: ASSESSMENT OF THE CLIENT'S ENVIRONMENTAL AND SOCIAL MANAGEMENT SYSTEMS

- 64. This section describes the E&S management systems in place to manage all identified E&S risks associated with the program interventions detailed in Section III, especially adverse impacts and risks. It describes the main elements of applicable client's systems and provides an analysis of the acceptability of these systems, considering the level of risk and the extent to which Borrower systems and practices are aligned with the World Bank's 6 core principles on E&S on Performance-for-Results financing. That is, the analysis will show the extent to which the applicable systems are consistent with the core principles and key planning elements expressed in the PforR Guidance Document. It also reviews aspects where gaps exist between the two systems. The assessment was done using the following criteria:
 - a) An analysis of the strengths of the existing environmental and social due diligence system, or where it functions effectively and efficiently and is consistent with Bank Policy and Directive for Program-for-Results Financing;
 - b) Identification of inconsistencies and gaps between the principles espoused in Bank Policy and Directive for Program-for-Results Financing and capacity constraints and gaps in existing capacity; and
 - c) Based on the above findings, recommendations to fill gaps and proposed mitigation measures and actions to strengthen the existing system to ensure environmental and social soundness and long-term sustainability in line with the design and implementation and operation of program interventions across the project areas.
- 65. The summary of the assessments of Federal Government systems in line with the core principles is presented in section 5.1. Information from this analysis and the resulting identification of gaps and opportunities/actions were used to inform the recommendations presented for the program in terms of managing E&S aspects and have informed the preparation of the Program Action Plan (PAP).

5.1: Summary of Systems Assessment

Core Principle 1: General Principle of Environmental and Social Management

Table 5.1: Assessment Core Principle 1: General Principle of Environmental and Social Management

Bank Policy for Program-for-Results Financing: Environmental and social management procedures and processes are designed to (a) promote environmental and social sustainability in Program design; (b) avoid, minimize or mitigate against adverse impacts; and (c) promote informed decision-making relating to a program's

Bank Directive for Program-for-Results Financing: Program procedures will:

- Operate within an adequate legal and regulatory framework to guide environmental and social impact assessments at the program level.
- Incorporate recognized elements of environmental and social assessment good practice, including:
- early screening of potential effects;
- consideration of strategic, technical, and site alternatives (including the "no action" alternative);
- explicit assessment of potential induced, cumulative, and trans-boundary impacts;
- identification of measures to mitigate adverse environmental or social impacts that cannot be otherwise avoided or minimized;
- clear articulation of institutional responsibilities and resources to support implementation of plans; and
- Responsiveness and accountability through stakeholder consultation, timely dissemination of program information, and responsive grievance redress measures.

Applicability: YES / NO

The E&S team has conducted a screening of initial risks of the PforR. Environmental and social risks are posed due to ensuring the availability of essential teaching-learning materials (DLI1) ensure fidelity of the structured pedagogy (DLI3), increased access to quality pre-primary education (DLI4), and increased access to primary education (DLI5), promote literacy and numeracy programs through non-formal basic education (DLI6) and enhanced availability of information for education system management (DLI8).

Applicable RA/DLIs	Systems Assessment	Gaps	Suggestions to Fill Gaps/Proposed Mitigation Measures
DLI 1: Number of schools with sufficient TLMs for literacy and numeracy DLI3: Percentage of children proficient in literacy and numeracy. DLI 4: Number of new primary classrooms created through community participation.	 FEDERAL LEVEL At the Federal level, National Policies, Acts, Regulations for environmental management as well as institutional system's identifying environment procedures, roles and legislation to be followed in the country (See Chapter 4) are well defined and are consistent with Core Principle 1 of the Bank Policy and Directives on PforR Operation. The national EIA system (EIA Act No. 86 of 1992) provides a comprehensive legal and regulatory framework for environmental and social impact 	 FEDERAL LEVEL The EIA Act only focuses on the environmental standards. The World Bank standards on social issues is not addressed by the EIA particularly requirements on stakeholder engagement, labor, resettlement and land acquisition or ecosystem services. At the national level there is no direct/ single ministry that is responsible for the totality of the social sustainability components, that is required by the World Bank Standards. What we have are 	 Support should be provided at specific project levels and a technical assistance component be used to fill capacity gaps and establish E&S risk management systems. There is also a need to strengthen the E&S capacity at the agencies, especially UBEC, responsible for the program implementation at the federal level. There is a need to strengthen the E&S management capacities of the Federal Government in terms of management of

DLI 5: Number of children	assessment that is broadly consistent with the	isolated ministries performing isolated roles	e-waste, and provision of adequate
who complete NFBE	Core Principle 1 of the Bank Policy and Directive.	related to social concerns. See Chapter 4.	skilled human resources.
program.	 Environmental Assessment (EA) Department of the Federal Ministry of Environment is responsible for ensuring that the environmental risks are assessed, and adequate measures are 	 There is no requirement for consulting with local communities or vulnerable people in EIA process. The capacity of the ministry and responsible agency to monitor and enforce environmental 	 Need to strengthen the capacities of the E&S officers that will be involved in the program.
DLI8: Percentage of schools included in current-year Annual School Census report.	 taken to mitigate and or manage potential project impacts in line with the Federal Republic of Nigerian EIA Act of 1992. E-waste regulation compels all manufacturers and importers of electrical equipment, e-waste collection centres, and recycling facilities to register with the E-waste Producer Responsibility Organization 	assessments is weak. STATE LEVEL There are weak infrastructural and human resources capacities in delivering a robust ESIA	Need for e-waste management procedure for the program to ensure that e-waste disposal is well covered under the Program.
	Nigeria (EPRON) NESREA is also empowered to enforce non-compliance with environmental laws and regulations. STATE LEVEL	 process at the State level The State environment ministries have weak capacities and mirror gaps in the federal environmental regulation and laws The corresponding ministries to E&S thematic areas at the state level do not interface well with the Ministry of Education and Health. 	^a There is a need to strengthen the E&S management capacities of the states involved in terms of OHS, and provision of adequate skilled human resources to tackle E&S issues especially at SUBEB.
	 Most States in the federation have their own state environment Ministry or agency. Most of the sample states have robust framework regarding environmental assessment and management, for example, Delta State has environmental sanitation law, 		
	 ecology law and waste management law. Lagos State has environmental protection law, etc. States also have strong coordination with Federal Ministry of Environment and NESREA. 		

Core Principle 2: Natural Habitats and Physical Cultural Resources

Table 5.2: Assessment Core Principle 2: Natural Habitats and Physical Cultural Resources

Bank Policy for Program-for-Results Financing: Environmental and social management procedures and processes are designed to avoid, minimize and mitigate against adverse effects on natural habitats and physical cultural resources resulting from program.

Bank Directive for Program-for-Results Financing: As relevant, the program to be supported:

- Includes appropriate measures for early identification and screening of potentially important biodiversity and cultural resource areas.
- Supports and promotes the conservation, maintenance, and rehabilitation of natural habitats; avoids the significant conversion or degradation of critical natural habitats, and if avoiding the significant conversion of natural habitats is not technically feasible, includes measures to mitigate or offset impacts or program activities.
- Takes into account potential adverse effects on physical cultural property and, as warranted, provides adequate measures to avoid, minimize, or mitigate such effects

Applicability: YES / NO

It is t expected that the Program will have any impact on natural habitats and physical cultural resources since it will involve new construction as twelve thousand new primary school classrooms will be constructed.

Applicable DLIs	Systems Assessment	Gaps	Suggestions to Fill Gaps/Proposed Mitigation Measures
DLI 4: Number of new primary classrooms created through community participation DLI 5: Number of children who complete NFBE program	 FEDERAL LEVEL The Government of Nigeria also has introduced a number of forest policies, programmes and instruments (e.g., the National Forest Policy 2006 revised in 2020, National Biodiversity Strategy and Action Plan, Nigeria REDD+ Strategy) in an effort to reverse the deforestation trend and facilitate natural resources management and biodiversity conservation STATE LEVEL Most of the State Governments have forest commissions or forest departments in their Ministry of Environment that are responsible for forest management and biodiversity conservation. 	 FEDERAL LEVEL Most of the forest laws and edits are old and outdated even dating back to colonial times and needs to be updated. Although strategies were developed, the have not been operationalized. STATE LEVEL Most of the state forest laws and edits are old and outdated even dating back to colonial times and needs to be updated. Most states have not implemented community forestry initiatives thus, most communities rarely conserve their forest resources 	 The federal and state governments should update their forest laws to reflect current realities. Federal and State UBEC should ensure that new primary school classrooms are not established in community forests or sacred groves and important biodiversity sites in the communities. .

Core Principle 3: Public and Worker Safety

Table 5.3: Assessment Core Principle 3: Public and Worker Safety

Bank Policy for Program-for-Results Financing: Environmental and social management procedures and processes are designed to protect public and worker safety against the potential risks associated with (a) construction and/or operations of facilities or other operational practices developed or promoted under the program; (b) exposure to toxic chemicals, hazardous wastes, and otherwise dangerous materials; and (c) reconstruction or rehabilitation of infrastructure located in areas prone to natural hazards.

Bank Directive for Program-for-Results Financing:

- Promotes community, individual, and worker safety through the safe design, construction, operation, and maintenance of physical infrastructure, or in carrying out activities that may be dependent on such infrastructure with safety measures, inspections, or remedial works incorporated as needed.
- Promotes use of recognized good practice in the production, management, storage, transport, and disposal of hazardous materials generated through program construction or operations; and promotes use of integrated pest management practices to manage or reduce pests or disease vectors; and provides training for workers involved in the production, procurement, storage, transport, use, and disposal of hazardous chemicals in accordance with international guidelines and conventions.
- Includes measures to avoid, minimize, or mitigate community, individual, and worker risks when program activities are located within areas prone to natural hazards such as floods, hurricanes, earthquakes, or other severe weather or climate events.

Applicability: YES / NO

It is expected that the Program will have impact on pubic and worker safety since it may involve construction, rehabilitation and expansion of classrooms through increased access to quality pre-primary education (DLI4) and increased access to primary education (DLI5).

Applicable DLIs	Systems Assessment	Gaps	Suggestions to Fill Gaps/Proposed Mitigation Measures
	FEDERAL LEVEL	FEDERAL LEVEL	The Federal Ministry of Environment
			should work towards improving the EIA
DLI 4: Number of new primary classrooms	• The legal/regulatory system of the	The national EIA system does not	system to incorporate important
created through community participation	country includes provisions for protecting	comprehensively encompass aspects of	aspects lacking in the system, for
cicatea anough community participation	worker, community and public safety.	public and worker safety.	example, issues relating to public and
	Some of these include, Labour Act of	There is limited awareness by the	workers' safety and broader ESHS.
	2004, the Trade Union Amended Act of	general public, especially farmers, on	Meanwhile, they should ensure that
DLI 5: Number of children who complete	2005, and the Employees Compensation	public health and safety issues,	EIA reports submitted for review cover
NFBE program	Act of 2010. See Table III.5	particularly in relation to exposure to	social issues especially relating to
	 NESREA has regulations to protect the 	hazardous materials, fertilizers and	public and worker safety.
	public from hazardous chemicals,	pesticide handling and safety	States and Federal Ministry of
	pesticides, and agrochemicals (National	precautions.	Environment, States and Federal

Core Principle 4: Land Acquisition Table 5.4: Assessment Core Principle 4: Land Acquisition

and certificates of occupancy. In some

states, the process has been digitized.

	Bank Policy for Program-for-Results Financing: Land acquisition and loss of access to natural resources are managed in a way that avoids or minimizes displacement, and affected people are assisted in improving, or at least restoring, their livelihoods and living standards.				
Bank Directive for Pre	ogram-for-Results Financing: As relevant, the pr	rogram to be supported:			
 Identifies and full legal righ Provides com 	 Avoids or minimizes land acquisition and related adverse impacts; Identifies and addresses economic and social impacts caused by land acquisition or loss of access to natural resources, including those affecting people who may lack full legal rights to assets or resources they use or occupy; Provides compensation sufficient to purchase replacement assets of equivalent value and to meet any necessary transitional expenses, paid prior to taking of land 				
or employment	plemental livelihood improvement or restoration	n measures if taking of land causes loss of income-generating op vices that may be adversely affected.	portunity (e.g., loss of crop production		
Applicability: <u>YES</u> / NO The Program could involve land acquisition for the civil works including construction and expansion of classrooms for increased access to quality pre-primary education (DLI∞) and increased access to primary education (DLI6).					
-	•	ng construction and expansion of classrooms for increased access	to quality pre-primary education (DLI∞)		
-	•	ng construction and expansion of classrooms for increased access Gaps	Suggestions to Fill Gaps/Proposed Mitigation Measures		
and increased access t	o primary education (DLI6).		Suggestions to Fill Gaps/Proposed		

donation leading to impoverishment of the people.

Core Principle 5: Social Considerations - Indigenous Peoples and Vulnerable Groups

Table 5.5: Assessment Core Principle 5: Social Considerations - Indigenous Peoples and Vulnerable Groups

Bank Policy for Program-for-Results Financing: Due consideration is given to cultural appropriateness of, and equitable access to, program benefits giving special attention to rights and interests of Indigenous Peoples and to the needs or concerns of vulnerable groups.

Bank Directive for Program-for-Results Financing:

- Undertakes free, prior, and informed consultations if Indigenous Peoples are potentially affected (positively or negatively) to determine whether there is broad community support for the program.
- Ensures that Indigenous Peoples can participate in devising opportunities to benefit from exploitation of customary resources or indigenous knowledge, the latter (indigenous knowledge) to include the consent of the Indigenous Peoples.
- Gives attention to groups vulnerable to hardship or disadvantage, including as relevant the poor, the disabled, women and children, the elderly, or marginalized ethnic groups. If necessary, special measures are taken to promote equitable access to program benefits.

Applicability: YES / NO

It is expected that vulnerable people may be impacted through discrimination based on gender and disability, ethnic bias, complaints given that the Program will involve recruitment and hiring of teachers and instructors in NFLC (DLI 3, 4 and 5). Note that there are no groups in Nigeria that meet the World Bank's criteria for Indigenous Peoples. However, we followed the third point on Bank Directive for indigenous peoples and vulnerable groups to look at the systems that address the needs of groups vulnerable to hardships, including women, youths and people with disabilities. The applicability in terms of specific DLIs is indicated below.

Applicable DLIs	Systems Assessment	Gaps	Suggestions to Fill Gaps/Proposed Mitigation Measures
DLI 1: Number of schools with	FDERAL LEVEL	FFEDERAL LEVEL	 Deliberate efforts to strengthen multi-agency
sufficient TLMs for literacy and numeracy DLI2: Number of primary teachers with improved structured pedagogy practices.	 Chapter IV of the Nigerian Constitution contains a variety of fundamental rights set out in Sections 33 - 44. of particular relevance is Section 42, which prohibits discrimination on the grounds of ethnic origin, sex (gender), religion, or linguistic affiliation. 	 There is a serious lack of trust in government and government ministries and agencies responsible for effective communication and engaging with the people, for example, the National Orientation Agency, Federal Ministry of Information and Culture and the Federal Ministries of Women Affairs and Social 	coordination e.g. between the National Orientation Agency, Federal Ministry of Information and Culture and the Federal Ministries of Women Affairs and Social Development to facilitate effective communication of government policies to the citizens, build trust in government and to
DLI 4: Number of new primary classrooms created through community participation	 There is a Federal Ministry of Women Affairs and Social Development that deals with all gender related issues especially as it concerns the vulnerable especially women youths and People living with 	 Development lack the requisite capacity and trust to build social contract. There is lack of information and weak knowledge of the public especially vulnerable groups regarding the issues relating to the 	strengthen the social contract, such as actions to tackle corruption and improve the delivery of services. Although these agencies are not directly involved in the implementation of HOPE.

DLI 5: Number of children who complete NFBE program	 Disabilities (PWDs). They have a unit that deals with GBV and discrimination. The Federal Ministry of Information and Culture has some institutions and agencies under it, for example, Radio Nigeria, Nigeria Television Authority and the National Orientation Agency that are responsible for communicating government programs and building trust with citizens. 	 economy, the need for effective tax system and payment of tax and the benefits of petroleum subsidy removal. There is weak of capacity in Ministries of Women Affairs and Social Development to tackle the issues of GBV and other issues relating to gender and youths There is no coordinated strategy for implementing a broad social inclusion agenda across public services in Nigeria. 	 There is also a need to strengthen the capacities of the technical staff of these agencies to enhance communication of government programs and build trust with the citizens. States without Gender Policy should set in motion the process of developing their gender policy which will contain guidelines and processes of preventing discrimination against vulnerable groups and PWDs. States without a gender-based violence
	 STATE LEVEL Also, most state governments have Ministry of Women Affairs/Gender Affairs and Social Development. These ministries help to address the issues of GBV and discriminations of vulnerable people. Specifically, the Law in Ekiti State provides welfare package (cash/in-kind) to the elderly. Many States have robust legal framework for Gender considerations, youths' affairs and social exclusions and discrimination. Many states have laws and frameworks in dealing with violence and discrimination while some states, in addition, some have response teams to deal with GBV for example Kaduna State GBV Response Team. Few States have adopted the Violence against persons Law, e.g Kaduna State (adopted in 2018) and Ekiti State (adopted in 2019) 	 STATE LEVEL Many States are yet to adopt the Violence Against Persons (Prohibition) Law. Many states do not have adequate framework and institutional arrangement for combating GBV or prosecuting and punishing those involved in GBV thus offenders often do not get punished. Many of the states do not have policy to ensure inclusion of minority/ ethnic groups at local level or the extreme poor in programs Also, many of the States do not have gender policy or guidelines for dealing with vulnerable people and PWDs to ensure that they are not treated with contempt and partiality 	 response team should quickly set up Domestic and Gender-based (Sexual) Violence Response Team (DSVRT) to for quick response to issues of GBV in the states. Sates should carryout regular enlightenment programs for the public and capacity building programs for staff of gender/women ministries. The program's implementing agencies should ensure that there is no discrimination in the recruitment of teachers and also put in place an effective GRM to ensure that people's complaints, especially regarding recruitment, are addressed effectively

Core Principle 6: Social Conflict

Table 5.6: Assessment Core Principle 6: Social Conflict

Bank Policy for Program-for-Results Financing: Avoid exacerbating social conflict, especially in fragile states, post-conflict areas, or areas subject to territorial disputes.

• Bank Directive for Program-for-Results Financing: Considers conflict risks, including distributional equity and cultural sensitivities.

Applicability: YES / No

Armed conflicts are not expected during the implementation of the Program, however, there could be disagreements, complaints, demonstrations and grievances may arise during the Program implementation due to lopsided or biased recruitment and deployment of teachers and possible conflict in land acquisition for construction and expansion of classrooms.

Applicable DLIs	Systems Assessment	Gaps	Suggestions to Fill Gaps/Proposed Mitigation Measures
DLI 4: Number of new primary classrooms created through community participation	 FEDERAL LEVEL The constitution of the Federal Republic of Nigeria 1999 (as amended) provides in Section 17 (3) (g) that "the State shall direct 	FEDERAL LEVEL Lack of a Grievance Redress Mechanism (GRM) for the poor and 	 Strengthened stakeholder engagement and grievance redress mechanisms and increased transparency to provide information and communication avenues for complaints and their
DLI 5: Number of children who complete NFBE program	 its policy towards ensuring that provision is made for public assistance in deserving cases, or other conditions of need. Federal throughout the country with well-trained police and security forces who maintain the rule of law and also provides security against bandits and other forms of violent crimes and attacks. The military also provides security against armed insurgency and terrorism. There is also a justice system with courts where people can seek redress. The federal government also has the public complaints commission where people can make complaints regarding administrative injustices. 	 vulnerable. Although Nigeria has a justice system with courts where people can seek justice, poor and vulnerable people do not have the capacity to seek justice in courts. The available GRM are weak and adhoc and not properly institutionalized. This is a need to ensure that people's grievances are properly redressed even when there is need to seek further redress if the individual is not satisfied with the outcome of existing arrangements. 	 Build social contract with the people to facilitate success of proposed reforms. States without a framework to provide free legal services/legal aid and advice to the citizens should work towards providing that. States without an agency responsible for grievance redress and peaceful resolution of disputes should make effort to provide one. States should strengthen their GRM to facilitate resolution of conflicts.

 STATE LEVEL State presence is strong throughout th country with well-trained police and securit forces who maintain the rule of law and als provides security against bandits and durin clashes between farmers and herders. Ther is also a justice system with courts wher people can seek redress. Some state government also have differer institutional arrangements, e.g. Multidoc Courthouse Law and Public defender Law i Delta State. 	 Although some states have ways of Although some states have ways of settling grievances, these arrangements are ad-hoc and needs to be properly institutionalized. Available GRM frameworks are not formalized and not well recognized. Most of the states do not have GRM mechanisms where poor and
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SECTION VI: PROGRAM ACTION PLAN (PAP) AND RECOMMENDATIONS

- 66. This section recommends measures that will be taken to strengthen system performance in line with the gaps and risks identified in section iv of the system assessment section to ensure that the Program interventions are aligned with the Core Principles 1, 3, 4, 5 and 6 of Bank Policy for Program-for-Results financing as stated below:
 - a) Core Principle 1: General Principle of Environmental and Social Management:
 - b) Core Principle 3: Public and Worker Safety
 - c) Core Principle 4: Land Acquisition
 - d) Core Principle 5: Social Considerations Indigenous Peoples and Vulnerable Groups:
 - e) Core Principle 6: Social Conflict.
- 67. These actions may be further refined and adjusted during the consultation process and the implementation of the Program.

6.1 Environmental Summary and Recommendations

- 68. Although Nigeria has a well-defined environmental system that is close to the core principle of environmental assessment, some gaps remain. For example, the monitoring of EIA implementation is weak, as there is no tracking system to monitor environmental and social risks and performance. At the State and local levels, there is a weak capacity to deliver a robust environmental assessment process. OHS framework is also lacking, especially in schools. There is equally weak monitoring and evaluation of environmental and social systems at the state level, and enforcement is weak.
- 69. Given the environmental impact of this project, some recommendations are made as follows:
 - e) Strengthening the E&S capacity under the project is needed. To facilitate this, E&S specialists should be recruited and trained for the Program.
 - f) E-waste waste management strategies must also be developed specifically for the program to facilitate their management. The requirements for e-waste management and EPRON should be included in the bidding document under HOPE-Edu PforR.
 - g) Also, E&S management procedures and requirements should be included in the documents for the procurement of civil works, materials and school expansion.
 - h) There is a need to develop an environmental management strategy or manual and OHS guidelines for schools.

6.2 Social Summary and Recommendations

- 70. Given the identified social issues and weaknesses in the federal system, the following recommendations are made:
 - a) Ensure that the recruitment of teachers and health workers to reduce the staffing gap and application of mechanisms to reduce absenteeism are carried out transparently to avoid ethnic or religious bias. Also, there is a need to ensure gender inclusion in the recruitment, deployment and management of teachers and health personnel.
 - b) Ensure a select number of teachers are trained on environmental management and safety in the school environment.
 - c) Establish a robust grievance redress mechanism specific to the Program to ensure that complaints from different stakeholders are well addressed.

- d) Strengthen provisions regarding gender-based violence (GBV) prevention and response, including clear protocols for identifying, reporting, and addressing instances of GBV within the school environment. This will include reviewing and, where appropriate, updating the Code of Conduct for education officers, teaching and non-teaching staff in the education sector.
- e) Develop guidelines and implement guidelines to make school accessible for vulnerable children, including those with disabilities, nomadic, IDPs, and ethnic considerations.
- f) Implement a whole-school approach to make schools safer for learning.
- g) Develop a land donation protocol for donating community land to be used for the construction and classroom expansion.
- 71. Following the recommendations, the breakdown of actions to be included in the Program Action Plan (PAP) with indicative timeline, responsibility for implementation and indicators for measuring the completion of such actions are detailed in the Table 6.1 below.

s/n	Action Description	Due Date	Responsible Party	Completion Measurement
1	Dedicate officials responsible for ensuring E&S PAP implementation	Prior to Effectiveness	UBEC, National Program Steering Committee (NPSC), National Program Coordinator (NPC), National Deputy Program Coordinator (NDPC)	Inclusion of the listed specialist in the team and maintained throughout the Program implementation, and Training Module and Implementation Support Supervision Report of World Bank Task team
2	Hire qualified Environmental and Social Officers and provide capacity building/system- strengthening program to strengthen their skills	No later than 3 months after effectiveness (will be reported during the first mission ISR)	SUBEC, State Program Steering Committee (SPSC), State Program Coordinator (SPC), State Deputy Program Coordinator (SDPC)	Assist the E&S PAP implementation official to execute the above responsibilities.
3	Develop e-waste management strategies for managing e-waste result from the program	Within one year of effectiveness or before the first bidding document for equipment procurement, whichever is earlier.	UBEC, NPSC, NPC, NDPC, SUBEC, SPSC, SPC, and SDPC	E-waste and healthcare waste management strategy document.
4	Strengthen provisions on gender-based	Within one year of effectiveness	SUBEC, State Program Steering Committee (SPSC), State Program	School management guidelines to include provisions on GBV prevention

Table 6.1: Program Action Plan (PAP)

s/n	Action Description	Due Date	Responsible Party	Completion Measurement
	violence (GBV) prevention and response in the school management guidelines, including clear protocols for identifying, reporting, and addressing instances of GBV within the school environment. This will include reviewing and, where appropriate, updating the Code of Conduct for education officers, teaching and non- teaching staff in the education sector.		Coordinator (SPC), State Deputy Program Coordinator (SDPC), Ministry of Education, Teachers Training, Civil Service Commission and Teaching Service Commission, the Teachers Registration Council of Nigeria (TRCN).	and response Code on Conduct is reviewed and updated for GBV prevention where necessary
5	Develop a GRM specific to the Program	Within one year of effectiveness	UBEC, NPSC, NPC, NDPC, SUBEC, SPSC, SPC, and SDPC	Copies of GRM outlining details of implementation
6	Develop guidelines and implement a whole school approach to make school accessible for vulnerable children, including those with disabilities, nomadic, IDPs, and ethnic considerations.	Within two years of effectiveness	UBEC, NPSC, NPC, NDPC, SUBEC, SPSC, SPC, and SDPC	Guidelines developed and under implementation
7	Develop a community land donation protocol specifically for the Program.	Prior to Effectiveness	SUBEC, SPSC, SPC, and SDPC	Copies of land donation protocol outlining details of implementation

SECTION VII. SUPPORTING ANNEXES AND REFERENCE DOCUMENTS

Annex 1: Applicability of Core Environmental and Social Principles (CP) to the HOPE-Edu Program Result Area and Disbursement Linked Indicators (DLIs)

Result Area	DLI	CP1 Environment	CP2 Natural Habitats	CP3 Public & Worker Safety	CP4 Land Acquisition	CP5 Vulnerable groups	CP6 Social Conflict
RA 1: Improving Quality	DLI 1: Number of schools with sufficient TLMs for literacy and numeracy	Applicable as the DLI will involve the printing and distribution of books which may impact on the environment through the forest destruction given the use of wood in paper production.	Not Applicable	Not Applicable	Not Applicable	Applicable as vulnerable people may be discriminated against in the distribution and use of TLMs	Not Applicable
	DLI 2: Number of primary teachers with improved structured pedagogy practices (SPP)	Not Applicable, device (including the lesson plans and other materials), which will be linked to a computer back- end platform.	Not Applicable	Not Applicable works supported by the DLI	Not Applicable	Applicable as vulnerable people may be discriminated against in training of teachers in SPP.	Not applicable.

Result Area	DLI3: Percentage of children proficient in literacy and numeracy of	CP1 Environment Applicable, as there could be e-waste given possible use of digital devices for evaluating of	CP2 Natural Habitats Not Applicable as there are no physical works supported by the DLI	CP3 Public & Worker Safety Not Applicable as there are construction and rehabilitation works supported	CP4 Land Acquisition Not Applicable as there are no physical works supported by the DLI	CP5 Vulnerable groups This is not applicable	CP6 Social Conflict Not Applicable
		learning in literacy and numeracy.		by the DLI.			
RA 2: Increasing access.	DLI4: Number of new primary classrooms created through community participation	This is Applicable as there are procurement of civil works, construction and expansion of classrooms supported by the DLI	This is applicable as civil works procurement and construction may impact natural habitats.	This is applicable as procurement of civil works, construction activities may impact public and workers health and safety.	This is applicable as there could be land acquisition in communities to facilitate construction and expansion of classrooms.	This is applicable as the hiring of qualified teachers may be biased against vulnerable people especially women.	Social conflict as envisaged by ESSA especially regarding armed conflict is not applicable. However, there could be conflicts over land acquisition and in the hiring of qualified teachers.
	DLI 5: Number of children who	This is Applicable as there are procurement of civil works, construction	This is applicable as civil works procurement	This is applicable as procurement of civil works, construction and	This is applicable as there could be land acquisition	This is applicable as the hiring of instructors for	Social conflict as envisaged by ESSA especially regarding armed

Result Area	DLI	CP1 Environment	CP2 Natural Habitats	CP3 Public & Worker Safety	CP4 Land Acquisition	CP5 Vulnerable groups	CP6 Social Conflict
	complete NFBE program	and expansion of classrooms for NFLCs supported by the DLI	and construction may impact natural habitats	rehabilitation activities may impact public and workers health and safety able	in communities to facilitate construction and expansion of classrooms	NFLCs may be biased against vulnerable people especially women. Risk of exclusion of Persons with Disabilities.	conflict is not applicable. However, there could be conflicts over the hiring and training of instructors for NFLC.
RA 3: Enhancing key systems	DLI6: Percentage of core UBE funds managed at decentralized level	Not Applicable as there are no physical works supported by the DLI	Not Applicable as there are no physical works supported by the DLI	Not Applicable as there are no physical works supported by the DLI	Not Applicable as there are no physical works supported by the DLI	Not Applicable as there are no physical works supported by the DLI	Not Applicable as there are no physical works supported by the DLI
	DLI7: Percentage of public primary schools using annual school grant	Not Applicable as there are no physical works supported by the DLI	Not Applicable as there are no physical works supported by the DLI	Not Applicable as there are no physical works supported by the DLI	Not Applicable as there are no physical works supported by the DLI	Not Applicable as there are no physical works supported by the DLI	Not Applicable

Result Area	DLI	CP1 Environment	CP2 Natural Habitats	CP3 Public & Worker Safety	CP4 Land Acquisition	CP5 Vulnerable groups	CP6 Social Conflict
	DLI8: Percentage of schools included in current-year Annual School Census report	Applicable, as there could be e-waste due to digitization of annual school census (ASC) database and digital publication of statistical report	Not Applicable as there are no physical works supported by the DLI	Not Applicable as there are no physical works supported by the DLI	Not Applicable as there are no physical works supported by the DLI	Not Applicable as there are no physical works supported by the DLI	Not Applicable as there are no physical works supported by the DLI

Result	DLIs	Environmental	Environmental Risks	Social Benefits	Social Risks
Areas		Benefits			
L: Improve quality	y				
	1. Number of schools with sufficient TLMs for literacy and numeracy	Negligible	The delivery of essential teaching- learning materials (TLMs) associated with this DLI will involve printing textbooks, especially to facilitate literacy and mathematics. This will consume a large amount of paper, thus impacting forests and trees used to manufacture paper. The digitization of the learning packages could reduce this.	The delivery of teacher guides (lesson plans and other material) and text books in literacy and mathematics and the training of teachers on these materials under this DLI will result in increased enrollment in basic education, reduction in OOSC, improved literacy and numeracy, enhanced performance of pupils and students in basic education.	Potential exclusion if textbooks or learning materials are not accessible
	2. Number of primary teachers	Negligible	Negligible		.Negligible

Annex 2: Key Environmental & Social Risks and Benefits Associated with Program Activities

Result	DLIs	Environmental	Environmental Risks	Social Benefits	Social Risks
Areas		Benefits			
	with improved			The building of Grades	
	structured			1-3 teacher capacity to	
	pedagogy			effectively use the	
	practices (SPP)			teaching-learning	
				materials and apply the	
				structured pedagogical	
				approach for literacy and	
				numeracy will result in	
				increased enrollment	
				in basic education,	
				reduction in OOSC,	
				improved literacy and	
				numeracy, enhanced	
				performance of pupils	
				and students in basic	
				education, thus	
				enhancing education	
				outcome and	
				subsequent poverty	
				reduction and	
				economic	
				development impact.	
	DLI 3: Percentage	Negligible	The evaluations of		Negligible
	of children		learning in literacy and		
	proficient in		numeracy for Grades 1		
	literacy and		and 2 could be done using		
	numeracy		digital devises and thus		
			may lead to the		
			generation of e-waste.		

Result	DLIs	Environmental	Environmental Risks	Social Benefits	Social Risks
Areas		Benefits			
RA 2: Increasing Access.	4. Number of new primary classrooms created through community participation	Negligible	The construction and establishment of new classroom is associated with significant direct and indirect environmental risks such as solid waste generation, noise, land degradation, air pollution and destruction of flora and fauna, which could lead to biodiversity loss	The establishment of public primary classrooms and will enhance access to education by people without access to education, guarantee that qualified and competent teachers are available in pre-primary and primary schools in rural communities thus increasing enrollment of pupils in schools, reduction in OOSC, and improved literacy and numeracy of pupils in pre-primary and primary education.	The establishment of classrooms by communities especially for NFLC could potentially impact workers' health and safety The workers may be exposed to pollution caused by dust and noise at the work site. There could also be child labour issues, influx of workers to the communities where rehabilitation work will occur. This may affect the communities as there could be cases of sexual abuse and other vices, for example, drug abuse. There could be potential exclusion and discrimination of vulnerable

Result	DLIs	Environmental	Environmental Risks	Social Benefits	Social Risks
Areas		Benefits			
					groups, ethnic
					considerations
					and sexual abuse or harassment of
					children and
					women in the
					hiring of qualified
					teachers.
					teachers.
					Discrimination along
					the lines of ethnicity
					and religion in the
					recruitment and hiring
					of teachers can result
					in complaints, social
					unrest and
					demonstrations.
					In addition, the
					acquisition of land for
					construction and
					expansion of classrooms
					in communities could
					result in conflict among
					community members.
	5. Number of children	Negligible	The construction and	The establishment of	The establishment of
	who complete NFBE		establishment of new classroom is associated	public primary	classrooms by
	program		with significant direct and	classrooms and will	communities especially
			indirect environmental	enhance access to	for NFLC could

Result	DLIs	Environmental Benefits	Environmental Risks	Social Benefits	Social Risks
Areas		Benefits			children and women in the hiring of qualified teachers. Discrimination along the lines of ethnicity and religion in the recruitment and hiring of teachers can result in complaints, social unrest and demonstrations. In addition, the acquisition of land for construction and expansion of classrooms in communities could result in conflict among community members.
Result Area 3: Enhancing key systems	DLI 6: Percentage of core UBE funds managed at decentralized level	Environment benefits will acre through the use of school-based management	Negligible	Achieving this DLI will ensure that schools receive and properly manage school grants, which will, in turn,	The constitution of the School-Based Management Committee (SBMC) and the disbursement

Result	DLIs	Environmental	Environmental Risks	Social Benefits	Social Risks
Areas		Benefits			
		grants for cleaning		encourage school	of grants and school-
		and maintaining		attendance, particularly	based management of
		school premises.		for children who have	grants may potentially
				dropped out or are at risk	be open to corruption
				of dropping out, and	and mismanagement
				enable school	of funds,
				management to purchase	notwithstanding the
				materials for teaching-	recommendation that
				learning purposes. This	a bank account should
				will ultimately reduce the	be used for
				number of OOSCs and	transparent
				improve the literacy and	management.
				numeracy of pupils in	Also, there could be
				primary education.	discrimination of vulnerable people in the
					use and management of
				Also, ensuring that school	the grants.
				management committees	
				are well constituted and	
				own bank or other	
				financial accounts that	
				can be accessed and	
				managed transparently	
				will equally facilitate	
				financial inclusion in	
			Neelisikle	Nigeria	
	DLI7: Percentage of public primary schools	Environment	Negligible	Achieving this DLI will	The constitution of the
	using annual school	benefits will acre		ensure that schools	School-Based
	grant	through the use of		receive and properly	Management

Result	DLIs	Environmental	Environmental Risks	Social Benefits	Social Risks
Areas		Benefits			
		school-based		manage school grants,	Committee (SBMC)
		management		which will, in turn,	and the disbursement
		grants for		encourage school	of grants and school-
		cleaning and		attendance, particularly	based management of
		maintaining		for children who have	grants may potentially
		school premises.		dropped out or are at risk	be open to corruption
				of dropping out, and	and mismanagement
				enable school	of funds,
				management to purchase	notwithstanding the
				materials for teaching-	recommendation that
				learning purposes. This	a bank account should
				will ultimately reduce the	be used for
				number of OOSCs and	transparent
				improve the literacy and	management.
				numeracy of pupils in	
				primary education.	Also, there could be
					discrimination of
				Also, ensuring that school	vulnerable people in the
				management committees	use and management of
				are well constituted and	the grants.
				own bank or other	
				financial accounts that	
				can be accessed and	
				managed transparently	
				will equally facilitate	
				financial inclusion in	
				Nigeria	

Result	DLIs	Environmental	Environmental Risks	Social Benefits	Social Risks
Areas		Benefits			
	DLI 8: Percentage of	Negligible	The digitization of	Enhancing the availability	Negligible
	schools included in		annual school census	of information for	
	current-year Annual		and data collection and	education system	
	School Census report		transmission could	management, especially	
			result in the generation	through digitizing annual	
			of waste from electrical	school census and	
			electronic equipment	school-based data	
			(WEEE) or e-waste.	collection and	
				transmission will	
				facilitate the availability	
				of data for basic	
				education management	
				in the states and Nigeria.	
				Effective management of	
				basic education will	
				facilitate improved	
				education outcomes,	
				reduction in	
				unemployment, and	
				enhanced economic development.	

Name	Organization	Designation	State
Felicia Vanessa Ango	SUBEB	DPRS	Kebbi
Hussaina Bello	UBEB	Principal Project Officer	FCT
Tracy Onabis Inclusive Friends Association (IFA)		Program Officer (Inclusive Education)	FCT
Obanla Akindele	SUBEB	M&E Officer	Lagos
Inyingi Irimagha	Gender and Development Action	Senior Programme Officer	Lagos
Florence Nene Ugwu	PAV	Southeast Regional Rep	
Maina Tata Adams	SUBEB		Gombe
Agbo Christian Obiora	The Qualitative Magazine	ED (Editor)	
Oluwakemi Odusanya			
Andrew Orlando Oaikhena	BudgIT Foundation with PAV	Stakeholder Manager	
Greg Chijioke Anyaegbudike	Partnership Amplified Voices (PAV), a society partnership that supports accountability and social inclusion in Nigeria, with significant support from the World Bank		
Vahyala Kwaga			
Florence			
Jide			
Rejoice Asoloko Manasseh			
Rasak Adekoya			
Judith Nwokoro			
Akindele Obanla			
Adams Maina Tata			
Olufunmilola Temitayo	Social Development specialist	WB	
Nnaemeka Chukwuone	Consultant	WB	

Annex 3: Attendance at Stakeholder Consultation Held on December 5, 2024

Cindy Ijeoma Ikeaka	Senior Social Development specialist	WB	
Elijah Abiodun Siakpere	Senior Social Development specialist	WB	
Lucky Erhaze	Environmental Specialist	WB§	
Halima Femi Pat Natson	Social Development consultant	WB	
Stella Nneka Eze		WB	
Samson Thomas		WB	
Oluwafunmilayo R Aladesuyi		WB	

ⁱⁱ World Bank. 2016. Disability Inclusion in Nigeria. A Rapid Assessment

ⁱ Paper commissioned for the 2020 Global Education Monitoring Report, Inclusion and education